STATEMENT OF FINANCIAL CONDITION
NYSE MEMBER FIRMS DEALING WITH PUBLIC
($ in Millions)

			2019
			1st QTR
ASSETS		Item	
1.	Cash	750	$28,274
2.	Cash segregated in compliance with federal and other regulations	760	61,165
3.	Receivable from brokers or dealers and clearing organizations:		
	A. Failed to deliver:	770	25,336
	B. Securities borrowed:	780	890,156
	C. Omnibus accounts:	790	32,062
	D. Clearing Organizations:	800	56,879
	E. Other	810	40,573
4.	Receivables from customers:	820	210,493
5.	Receivables from non-customers:	830	23,554
6.	Securities purchased under agreements to resell	840	946,367
7.	Securities and spot commodities owned, at market value:	850	877,706
8.	Securities owned not readily marketable:	860	20,005
9.	Other investments not readily marketable:	870	1,254
10.	Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:	880	0
11.	Secured demand notes-market value of collateral:	890	426
12.	Memberships in exchanges:	900	182
13.	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	9,600
14.	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	10,972
15.	Other Assets:	930	170,686
16.	TOTAL ASSETS	940	$3,405,690
LIABILITIES			
17.	Bank loans payable:		
	A. Includable in "Formula for Reserve Requirements"	1460	$1,164
	B. Other	1470	42,044
18.	Securities sold under repurchase agreements.	1480	1,258,656
19.	Payable to brokers or dealers and clearing organizations:		
	A. Failed to receive:		
	1. Includable in "Formula for Reserve Requirements"	1490	9,058
	2. Other	1500	15,097
	B. Securities loaned:		
	1. Includable in "Formula for Reserve Requirements"	1510	61,698
	2. Other	1520	246,506
	C. Omnibus accounts:		
	1. Includable in "Formula for Reserve Requirements"	1530	18,769
	2. Other	1540	221
	D. Clearing organizations:		
	1. Includable in "Formula for Reserve Requirements"	1550	413
	2. Other	1560	4,351
	E. Other	1570	45,508

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20.	Payable to customers:		
	A. Securities accounts	1580	564,422
	B. Commodities accounts	1590	117,126
21.	Payable to non customers:		
	A. Securities accounts	1600	$46,430
	B. Commodities accounts	1610	17,372
22.	Securities sold not yet purchased at market value	1620	260,055
23.	Accounts payable and accrued liabilities and expenses:		
	A. Drafts payable	1630	2,190
	B. Accounts payable	1640	13,448
	C. Income taxes payable	1650	3,883
	D. Deferred income taxes	1660	23
	E. Accrued expenses and other liabilities	1670	56,313
	F. Other	1680	15,405
	G. Obligation to return securities	1686	117,971
	H. SPE Liabilities	1687	289
24.	Notes and mortgages payable:		
	A. Unsecured	1690	133,288
	B. Secured	1700	46,538
25.	Liabilities subordinated to claims of general creditors:		
	A. Cash borrowings:	1710	122,364
	B. Securities borrowings, at market value:	1720	0
	C. Pursuant to secured demand not collateral agreements:	1730	426
	D. Exchange memberships contributed for use of company, at market value	1740	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	0
26.	TOTAL LIABILITIES	1760	$3,221,028
	OWNERSHIP EQUITY		
27.	Sole proprietorship	1770	$1,699
28.	Partnership - limited partners	1780	58,342
29.	Corporation:		
	A. Preferred stock	1791	1,284
	B. Common stock	1792	291
	C. Additional paid- in capital	1793	120,662
	D. Retained Earnings	1794	3,023
	E. Accumulated other comprehensive income	1797	(631)
	F. Less capital stock in treasury	1796	(8)
30.	TOTAL OWNERSHIP EQUITY	1800	$184,662
31.	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	$3,405,690