STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED
NYSE MEMBER FIRMS DEALING WITH PUBLIC
($ in Millions)

			2019	
		Item	1st QTR	Total
REVENUE				
1.	Commissions:			
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,081	$2,081
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	641	641
	c. Commissions on listed option transactions	3938	353	353
	d. All other securities commissions	3939	1,706	1,706
	e. Total securities commissions	3940	4,781	4,781
2.	Gains or losses on firm securities trading accounts	3950	6,159	6,159
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	246	246
3.	Gains or losses on firm securities investment accounts	3952	279	279
4.	Profits or losses from underwriting and selling groups	3955	5,166	5,166
5.	Margin interest	3960	3,836	3,836
6.	Income from sale of investment company shares	3970	1,306	1,306
7.	Fees for account supervision, investment advisory and administrative services	3975	14,105	14,105
8.	Revenue from research services	3980	49	49
9.	Other revenue related to securities business	3985	22,067	22,067
10.	Commodities revenue	3990	616	616
11.	Other revenue unrelated to securities business	3995	3,652	3,652
12.	Total revenue	4030	$62,016	$62,016
EXPENSES				
13.	Registered representatives' compensation	4110	$9,626	$9,626
14.	Clerical and administrative employees' expenses	4040	8,994	8,994
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	413	413
16.	Other employee compensation and benefits	4115	219	219
17.	Commission paid to other brokers	4140	11	11
18.	Floor brokerage paid to certain brokers	4055	242	242
19.	Commissions and clearance paid to all other brokers	4145	1,049	1,049
20.	Clearance paid to non-brokers	4135	853	853
21.	Communications	4060	1,073	1,073
22.	Occupancy and equipment costs	4080	1,206	1,206
23.	Promotional costs	4150	329	329
24.	Interest expense	4075	21,042	21,042
25.	Losses in error account and bad debts	4170	56	56
26.	Data processing costs (including service bureau service charges)	4186	846	846
27.	Non-recurring charges	4190	0	0
28.	Regulatory fees and expenses	4195	371	371
29.	Other expenses	4100	8,762	8,762
30.	Total expenses	4200	$55,092	$55,092
NET INCOME				
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$6,924	$6,924
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$307,452	
	Number of Firms Profitable		95	
	Number of Firms Loss		23	
	TOTAL NUMBER OF FIRMS		118	