STATEMENT OF FINANCIAL CONDITION
NYSE MEMBER FIRMS DEALING WITH PUBLIC
($ in Millions)

			2019	
		item	1st QTR	2nd QTR
ASSETS				
1	Cash	750	$28,274	$35,361
2	Cash segregated in compliance with federal and other regulations	760	61,165	63,204
3	Receivable from brokers or dealers and clearing organizations:			
	A. Failed to deliver:	770	25,336	26,908
	B. Securities borrowed:	780	890,156	903,056
	C. Omnibus accounts:	790	32,062	16,204
	D. Clearing Organizations:	800	56,879	57,901
	E. Other	810	40,573	48,834
4	Receivables from customers:	820	210,493	220,167
5	Receivables from non-customers:	830	23,554	32,376
6	Securities purchased under agreements to resell	840	946,367	945,574
7	Securities and spot commodities owned, at market value:	850	877,706	903,021
8	Securities owned not readily marketable:	860	20,005	20,113
9	Other investments not readily marketable:	870	1,254	1,331
10	Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:	880	0	0
11	Secured demand notes-market value of collateral:	890	426	443
12	Memberships in exchanges:	900	182	178
13	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	9,600	9,721
14	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	10,972	11,180
15	Other Assets:	930	170,686	175,398
16	TOTAL ASSETS	940	$3,405,690	$3,470,970
LIABILITIES				
17	Bank loans payable:			
	A. Includable in "Formula for Reserve Requirements"	1460	$1,164	$1,478
	B. Other	1470	42,044	47,589
18	Securities sold under repurchase agreements.	1480	1,258,656	1,294,799
19	Payable to brokers or dealers and clearing organizations:			
	A. Failed to receive:			
	1. Includable in "Formula for Reserve Requirements"	1490	9,058	10,430
	2. Other	1500	15,097	17,456
	B. Securities loaned:			
	1. Includable in "Formula for Reserve Requirements"	1510	61,698	78,379
	2. Other	1520	246,506	241,808
	C. Omnibus accounts:			
	1. Includable in "Formula for Reserve Requirements"	1530	18,769	1,667
	2. Other	1540	221	497
	D. Clearing organizations:			
	1. Includable in "Formula for Reserve Requirements"	1550	413	597
	2. Other	1560	4,351	2,554
	E. Other	1570	45,508	36,051
20	Payable to customers:			
	A. Securities accounts	1580	564,422	567,764
	B. Commodities accounts	1590	117,126	117,838
21	Payable to non customers:			
	A. Securities accounts	1600	$46,430	$39,074
	B. Commodities accounts	1610	17,372	17,986
22	Securities sold not yet purchased at market value	1620	260,055	263,287
23	Accounts payable and accrued liabilities and expenses:			
	A. Drafts payable	1630	2,190	2,055
	B. Accounts payable	1640	13,448	13,523
	C. Income taxes payable	1650	3,883	4,549
	D. Deferred income taxes	1660	23	23
	E. Accrued expenses and other liabilities	1670	56,313	60,561
	F. Other	1680	15,405	13,539
	G. Obligation to return securities	1686	117,971	119,917
	H. SPE Liabilities	1687	289	275
24	Notes and mortgages payable:			
	A. Unsecured	1690	133,288	158,022
	B. Secured	1700	46,538	45,979
25	Liabilities subordinated to claims of general creditors:			
	A. Cash borrowings:	1710	122,364	117,629

			2019	
		item	1st QTR	2nd QTR
	B. Securities borrowings, at market value:	1720	0	0
	C. Pursuant to secured demand not collateral agreements:	1730	426	443
	D. Exchange memberships contributed for use of company, at market value	1740	0	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	0	0
26	TOTAL LIABILITIES	1760	$3,221,028	$3,275,769
OWNERSHIP EQUITY				
27	Sole proprietorship	1770	$1,699	$1,752
28	Partnership - limited partners	1780	58,342	59,688
29	Corporation:			
	A. Preferred stock	1791	1,284	7,142
	B. Common stock	1792	291	321
	C. Additional paid- in capital	1793	120,662	131,465
	D. Retained Earnings	1794	3,023	(4,561)
	E. Less capital stock in treasury	1796	(8)	(8)
	F. Accumulated other Comprehensive Income	1797	($631)	($599)
30	TOTAL OWNERSHIP EQUITY	1800	$184,662	$195,200
31	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	3,405,690	3,470,970