STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED
NYSE MEMBER FIRMS DEALING WITH PUBLIC
($ in Millions)

			2019		
		item	1st QTR	2nd QTR	Total
REVENUE					
1	Commissions:				
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,081	$2,059	$4,140
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	641	726	1,367
	c. Commissions on listed option transactions	3938	353	425	778
	d. All other securities commissions	3939	1,706	1,920	3,626
	e. Total securities commissions	3940	4,781	5,130	9,911
2	Gains or losses on firm securities trading accounts	3950	6,159	7,177	13,336
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	246	(431)	(185)
3	Gains or losses on firm securities investment accounts	3952	279	99	378
4	Profits or losses from underwriting and selling groups	3955	5,166	7,687	12,853
5	Margin interest	3960	3,836	3,693	7,529
6	Income from sale of investment company shares	3970	1,306	1,379	2,685
7	Fees for account supervision, investment advisory and administrative services	3975	14,105	14,571	28,676
8	Revenue from research services	3980	49	68	117
9	Other revenue related to securities business	3985	22,067	24,195	46,262
10	Commodities revenue	3990	616	516	1,132
11	Other revenue unrelated to securities business	3995	3,652	4,269	7,921
12	Total revenue	4030	$62,016	$68,784	$130,800
EXPENSES					
13	Registered representatives' compensation	4110	$9,626	$9,549	$19,175
14	Clerical and administrative employees' expenses	4040	8,994	9,586	18,580
15	Salaries and other employment costs for general partners and voting stockholder officers	4120	413	441	854
16	Other employee compensation and benefits	4115	219	216	435
17	Commission paid to other brokers	4140	11	17	28
18	Floor brokerage paid to certain brokers	4055	242	230	472
19	Commissions and clearance paid to all other brokers	4145	1,049	1,152	2,201
20	Clearance paid to non-brokers	4135	853	916	1,769
21	Communications	4060	1,073	1,172	2,245
22	Occupancy and equipment costs	4080	1,206	1,245	2,451
23	Promotional costs	4150	329	436	765
24	Interest expense	4075	21,042	23,558	44,600
25	Losses in error account and bad debts	4170	56	30	86
26	Data processing costs (including service bureau service charges)	4186	846	893	1,739
27	Non-recurring charges	4190	0	0	0
28	Regulatory fees and expenses	4195	371	513	884
29	Other expenses	4100	8,762	10,614	19,376
30	Total expenses	4200	$55,092	$60,568	$115,660
NET INCOME					
31	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$6,924	$8,216	$15,140
32	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$307,452	$313,272	
	Number of Firms Profitable		95	97	
	Number of Firms Loss		23	26	
	TOTAL NUMBER OF FIRMS		118	123	