STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2022
		item	Q1 2022
ASSETS			
1	Cash	750	$34,542
2	Cash segregated in compliance with federal and other regulations	760	83,891
3	Receivable from brokers or dealers and clearing organizations:		
	A. Failed to deliver:	770	79,562
	B. Securities borrowed:	780	1,194,387
	C. Omnibus accounts:	790	22,076
	D. Clearing Organizations:	800	194,032
	E. Other	810	26,067
4	Receivables from customers:	820	400,401
5	Receivables from non-customers:	830	62,226
6	Excess cash collateral pledged on derivative transactions	12017	3,727
7	Securities purchased under agreements to resell	840	1,027,857
8	Trade Date Receivable	802	9,526
8	Securities and spot commodities owned, at market value:	850	10,788
9	Total Net Securities, commodities and swaps positions	12024	955,138
8	Securities owned not readily marketable:	860	55
9	Other investments not readily marketable:	870	0
10	Securities borrowed under subordination agreements and partners' individual and capital securities acco	880	0
11	Secured demand notes-market value of collateral:	890	555
12	Memberships in exchanges:	900	187
13	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	12,705
14	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	13,907
15	Other Assets:	930	182,488
LIABILITIES			
17	Bank loans payable:		
	A. Includable in "Formula for Reserve Requirements"	1460	$2,989
	B. Other	1470	50,762
18	Securities sold under repurchase agreements.	1480	1,193,762
19	Payable to brokers or dealers and clearing organizations:		
	A. Failed to receive:		
	1. Includable in "Formula for Reserve Requirements"	1490	24,138
	2. Other	1500	49,985
	B. Securities loaned:		
	1. Includable in "Formula for Reserve Requirements"	1510	111,646
	2. Other	1520	379,208
	C. Omnibus accounts:		
	1. Includable in "Formula for Reserve Requirements"	1530	484
	2. Other	1540	593
	D. Clearing organizations:		
	1. Includable in "Formula for Reserve Requirements"	1550	2,852
	2. Other	1560	7,962
	E. Other	1570	14,261
20	Payable to customers:		
	A. Securities accounts	1580	751,808
	B. Commodities accounts	1590	226,536
21	Payable to non customers:		
	A. Securities accounts	1600	$48,693

	B. Commodities accounts	1610	44,508
22	Excess cash collateral recieved on derivative transactions	12027	8,821
22	Securities sold not yet purchased at market value	1620	10,878
23	Trade Date Payable	1562	27,714
24	Total Net securities, commodities, and swaps positions	12044	473,700
23	Accounts payable and accrued liabilities and expenses:	1685	719
	A. Drafts payable	1630	1,258
	B. Accounts payable	1640	20,198
	C. Income taxes payable	1650	5,915
	D. Deferred income taxes	1660	102
	E. Accrued expenses and other liabilities	1670	79,057
	F. Other	1680	21,693
	G. Obligation to return securities	1686	131,490
	H. SPE Liabilities	1687	460
24	Notes and mortgages payable:		
	A. Unsecured	1690	177,029
	B. Secured	1700	82,288
25	Liabilities subordinated to claims of general creditors:		
	A. Cash borrowings:	1710	136,273
	B. Securities borrowings, at market value:	1720	0
	C. Pursuant to secured demand not collateral agreements:	1730	555
	D. Exchange memberships contributed for use of company, at market value	1740	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	3

OWNERSHIP EQUITY

27	Sole proprietorship	1770	$0
28	Partnership - limited partners	1780	66,286
29	Corporation:		
	A. Preferred stock	1791	7,142
	B. Common stock	1792	665
	C. Additional paid- in capital	1793	128,513
	D. Retained Earnings	1794	23,659
	E. Less capital stock in treasury	1796	(7)
	F. Accumulated other Comprehensive Income	1797	($485)

	TOTAL ASSETS	940	4,314,128
	TOTAL LIABILITIES	1760	4,088,358
	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	4,314,128
	TOTAL OWNERSHIP EQUITY	1800	225,770