STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED
($ in Millions)

2022

		item	1st Qtr
REVENUE			
1	Commissions:		
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,278
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	794
	c. Commissions on listed option transactions	3938	619
	d. All other securities commissions	3939	2,074
2	Gains or losses on firm securities trading accounts	3950	3,467
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	923
3	Gains or losses on derivatives trading	3926	1,933
3	Gains or losses on firm securities investment accounts	3952	(30)
4	Profits or losses from underwriting and selling groups	3955	5,947
5	Margin interest	3960	1,649
6	Income from sale of investment company shares	3970	1,355
7	Fees for account supervision, investment advisory and administrative services	3975	19,296
8	Revenue from research services	3980	70
10	Gain or Loss on commodity	3990	777
9	Other revenue related to securities business	3985	7,679
11	Other revenue unrelated to securities business	3995	5,113
EXPENSES			
13	Registered representatives' compensation	4110	$12,844
14	Clerical and administrative employees' expenses	4040	9,672
15	Salaries and other employment costs for general partners and voting stockholder officers	4120	564
16	Other employee compensation and benefits	4115	348
17	Commission paid to other brokers	4140	41
18	Floor brokerage paid to certain brokers	4055	477
19	Commissions and clearance paid to all other brokers	4145	1,307
20	Clearance paid to non-brokers	4135	1,126
21	Communications	4060	1,255
22	Occupancy and equipment costs	4080	1,292
23	Promotional costs	4150	288
24	Interest expense	4075	3,020
25	Losses in error account and bad debts	4170	36
26	Data processing costs (including service bureau service charges)	4186	1,105
27	Non-recurring charges	4190	0
28	Regulatory fees and expenses	4195	382
29	Other expenses	4100	11,498
NET INCOME			
32	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$362,602
	Number of Firms Profitable		91
	Number of Firms Loss		33
	TOTAL NUMBER OF FIRMS		124

	e. Total securities commissions	3940	5,767
	Total expenses	4200	45,264
	Total revenue	4030	53,028
	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	7,763