NYSE MEMBER FIRMS DEALING WITH PUBLIC
($ in Millions)

	2nd QTR 2013	2nd QTR 2012	1st QTR 2013	YTD 2013	YTD 2012
Revenue	$39,505	$37,070	$43,368	$82,873	$79,109
Expense	$36,008	$33,810	$36,775	$72,783	$68,594
After Tax Profit Loss	$2,273	$2,119	$4,285	$6,558	$6,835
After Tax Annualized Return on Capital	3.2%	3.1%	6.1%	4.6%	5.1%
Assets	$4,116,318	$4,129,590	$4,154,335	$4,116,318	$4,129,590
Capital and subordinated liabilities	$285,265	$269,279	$284,107	$285,265	$269,279
Commission Revenues	$6,203	$5,612	$5,733	$11,936	$11,617
Firms	163	164	164	166	170
Profitable Firms	109	92	113	114	105
Aggregate PreTax Earnings of Profitable Firms	$4,962	$3,918	$6,794	$11,756	$11,265
Unprofitable Firms	54	72	51	52	65
Aggregate PreTax Loss of Unprofitable Firms	($1,465)	($658)	($201)	($1,666)	($750)

Note: Data is from NYSE member firms that conduct business with the public. Revenues include firms that trade primarily for their own account, but excludes designated market makers (formerly known as specialists). All firms are registered broker-dealers with the SEC. Revenues are predominantly derived from investment banking, trading, commissions, and interest.

Since the NYSE member firm community is composed of corporations and partnerships, after-tax earnings are presented on a pro-forma basis that assumes a corporate tax rate of 35 percent.