STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2013	
			1st QTR	2nd QTR
ASSETS		Item		
1.	Cash	750	$22,875	$31,597
2.	Cash segregated in compliance with federal and other regulations	760	71,413	71,360
3.	Receivable from brokers or dealers and clearing organizations:			
	A. Failed to deliver:	770	26,761	35,924
	B. Securities borrowed:	780	1,112,724	1,121,911
	C. Omnibus accounts:	790	17,274	13,035
	D. Clearing Organizations:	800	43,496	59,098
	E. Other	810	66,773	93,586
4.	Receivables from customers:	820	204,130	207,904
5.	Receivables from non-customers:	830	76,325	65,687
6.	Securities purchased under agreements to resell	840	1,248,600	1,220,351
7.	Securities and spot commodities owned, at market value:	850	987,343	939,186
8.	Securities owned not readily marketable:	860	21,063	20,812
9.	Other investments not readily marketable:	870	1,440	1,306
10.	Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:	880	71	72
11.	Secured demand notes-market value of collateral:	890	94	93
12.	Memberships in exchanges:	900	213	211
13.	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	24,062	28,535
14.	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	6,083	6,036
15.	Other Assets:	930	223,595	199,614
16.	TOTAL ASSETS	940	$4,154,335	$4,116,318
LIABILITIES				
17.	Bank loans payable:			
	A. Includable in "Formula for Reserve Requirements"	1460	$3,166	$2,864
	B. Other	1470	23,743	36,292
18.	Securities sold under repurchase agreements.	1480	1,791,009	1,718,885
19.	Payable to brokers or dealers and clearing organizations:			
	A. Failed to receive:			
	1. Includable in "Formula for Reserve Requirements"	1490	10,721	11,213
	2. Other	1500	17,405	24,246
	B. Securities loaned:			
	1. Includable in "Formula for Reserve Requirements"	1510	50,221	54,023
	2. Other	1520	481,109	451,553
	C. Omnibus accounts:			
	1. Includable in "Formula for Reserve Requirements"	1530	6,664	3,550
	2. Other	1540	11,857	13,097
	D. Clearing organizations:			
	1. Includable in "Formula for Reserve Requirements"	1550	595	1,124
	2. Other	1560	1,618	6,670
	E. Other	1570	65,845	69,647

STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2013	
			1st QTR	2nd QTR
20.	Payable to customers:			
	A. Securities accounts	1580	564,527	588,803
	B. Commodities accounts	1590	107,471	112,909
21.	Payable to non customers:			
	A. Securities accounts	1600	$27,148	$32,357
	B. Commodities accounts	1610	16,175	18,074
22.	Securities sold not yet purchased at market value	1620	335,606	342,827
23.	Accounts payable and accrued liabilities and expenses:			
	A. Drafts payable	1630	3,166	2,759
	B. Accounts payable	1640	14,161	14,381
	C. Income taxes payable	1650	3,610	3,683
	D. Deferred income taxes	1660	61	59
	E. Accrued expenses and other liabilities	1670	48,932	50,800
	F. Other	1680	33,331	26,200
	G. Obligation to return securities	1686	154,599	136,271
	H. SPE Liabilities	1687	8,576	5,917
24.	Notes and mortgages payable:			
	A. Unsecured	1690	60,735	49,231
	B. Secured	1700	28,177	53,618
25.	Liabilities subordinated to claims of general creditors:			
	A. Cash borrowings:	1710	108,994	108,602
	B. Securities borrowings, at market value:	1720	0	0
	C. Pursuant to secured demand not collateral agreements:	1730	87	86
	D. Exchange memberships contributed for use of company, at market value	1740	0	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	82	84
26.	TOTAL LIABILITIES	1760	$3,979,391	$3,939,825
	OWNERSHIP EQUITY			
27.	Sole proprietorship	1770	$945	$930
28.	Partnership - limited partners	1780	31,447	31,675
29.	Corporation:			
	A. Preferred stock	1791	1,285	1,285
	B. Common stock	1792	326	328
	C. Additional paid- in capital	1793	105,943	106,527
	D. Retained Earnings	1794	35,006	38,554
	E. Less capital stock in treasury	1796	(8)	(2,806)
30.	TOTAL OWNERSHIP EQUITY	1800	$174,944	$176,493
31.	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	$4,154,335	$4,116,318