STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED

($ in Millions) **2013**

		Item	1st QTR	2nd QTR	Total
REVENUE					
1.	Commissions:				
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,395	$2,691	$5,086
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	1,126	1,144	2,270
	c. Commissions on listed option transactions	3938	492	497	989
	d. All other securities commissions	3939	1,720	1,871	3,591
	e. Total securities commissions	3940	5,733	6,203	11,936
2.	Gains or losses on firm securities trading accounts	3950	5,728	551	6,279
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	220	203	423
3.	Gains or losses on firm securities investment accounts	3952	271	144	415
4.	Profits or losses from underwriting and selling groups	3955	6,240	6,397	12,637
5.	Margin interest	3960	1,435	1,458	2,893
6.	Income from sale of investment company shares	3970	1,618	1,661	3,279
7.	Fees for account supervision, investment advisory and administrative services	3975	7,818	8,201	16,019
8.	Revenue from research services	3980	17	19	36
9.	Other revenue related to securities business	3985	10,263	10,433	20,696
10.	Commodities revenue	3990	541	641	1,182
11.	Other revenue unrelated to securities business	3995	3,704	3,797	7,501
12.	Total revenue	4030	$43,368	$39,505	$82,873
EXPENSES					
13.	Registered representatives' compensation	4110	$8,756	$8,353	$17,109
14.	Clerical and administrative employees' expenses	4040	10,420	8,679	19,099
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	360	360	720
16.	Other employee compensation and benefits	4115	61	63	124
17.	Commission paid to other brokers	4140	39	60	99
18.	Floor brokerage paid to certain brokers	4055	225	244	469
19.	Commissions and clearance paid to all other brokers	4145	1,120	1,204	2,324
20.	Clearance paid to non-brokers	4135	685	714	1,399
21.	Communications	4060	1,235	1,389	2,624
22.	Occupancy and equipment costs	4080	1,272	1,313	2,585
23.	Promotional costs	4150	275	307	582
24.	Interest expense	4075	3,894	3,948	7,842
25.	Losses in error account and bad debts	4170	45	40	85
26.	Data processing costs (including service bureau service charges)	4186	517	498	1,015
27.	Non-recurring charges	4190	0	0	0
28.	Regulatory fees and expenses	4195	413	404	817
29.	Other expenses	4100	7,458	8,432	15,890
30.	Total expenses	4200	$36,775	$36,008	$72,783
NET INCOME					
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$6,593	$3,497	$10,090
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$284,107	$285,265	
	Number of Firms Profitable		113	109	
	Number of Firms Loss		51	54	
	TOTAL NUMBER OF FIRMS		164	163	