STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED

($ in Millions) **2014**

		Item	1st QTR	Total
REVENUE				
1.	Commissions:			
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,671	$2,671
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	1,090	1,090
	c. Commissions on listed option transactions	3938	521	521
	d. All other securities commissions	3939	1,735	1,735
	e. Total securities commissions	3940	6,017	6,017
2.	Gains or losses on firm securities trading accounts	3950	5,730	5,730
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	37	37
3.	Gains or losses on firm securities investment accounts	3952	168	168
4.	Profits or losses from underwriting and selling groups	3955	6,052	6,052
5.	Margin interest	3960	1,587	1,587
6.	Income from sale of investment company shares	3970	1,716	1,716
7.	Fees for account supervision, investment advisory and administrative services	3975	8,984	8,984
8.	Revenue from research services	3980	26	26
9.	Other revenue related to securities business	3985	9,101	9,101
10.	Commodities revenue	3990	532	532
11.	Other revenue unrelated to securities business	3995	3,835	3,835
12.	Total revenue	4030	$43,748	$43,748
EXPENSES				
13.	Registered representatives' compensation	4110	$9,140	$9,140
14.	Clerical and administrative employees' expenses	4040	9,874	9,874
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	364	364
16.	Other employee compensation and benefits	4115	51	51
17.	Commission paid to other brokers	4140	64	64
18.	Floor brokerage paid to certain brokers	4055	237	237
19.	Commissions and clearance paid to all other brokers	4145	1,178	1,178
20.	Clearance paid to non-brokers	4135	684	684
21.	Communications	4060	1,398	1,398
22.	Occupancy and equipment costs	4080	1,302	1,302
23.	Promotional costs	4150	322	322
24.	Interest expense	4075	3,316	3,316
25.	Losses in error account and bad debts	4170	27	27
26.	Data processing costs (including service bureau service charges)	4186	480	480
27.	Non-recurring charges	4190	0	0
28.	Regulatory fees and expenses	4195	423	423
29.	Other expenses	4100	9,540	9,540
30.	Total expenses	4200	$38,400	$38,400
NET INCOME				
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$5,348	$5,348
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$284,124	
	Number of Firms Profitable		106	
	Number of Firms Loss		48	
	TOTAL NUMBER OF FIRMS		154	