STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED

($ in Millions)

2014

		Item	1st QTR	2nd QTR	Total
REVENUE					
1.	Commissions:				
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,671	$2,504	$5,175
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	1,090	1,015	2,105
	c. Commissions on listed option transactions	3938	521	483	1,004
	d. All other securities commissions	3939	1,735	1,498	3,233
	e. Total securities commissions	3940	6,017	5,500	11,517
2.	Gains or losses on firm securities trading accounts	3950	5,730	3,667	9,397
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	37	(8)	29
3.	Gains or losses on firm securities investment accounts	3952	168	40	208
4.	Profits or losses from underwriting and selling groups	3955	6,052	7,426	13,478
5.	Margin interest	3960	1,587	1,669	3,256
6.	Income from sale of investment company shares	3970	1,716	1,742	3,458
7.	Fees for account supervision, investment advisory and administrative services	3975	8,984	9,470	18,454
8.	Revenue from research services	3980	26	26	52
9.	Other revenue related to securities business	3985	9,101	9,128	18,229
10.	Commodities revenue	3990	532	503	1,035
11.	Other revenue unrelated to securities business	3995	3,835	3,981	7,816
12.	Total revenue	4030	$43,748	$43,152	$86,900
EXPENSES					
13.	Registered representatives' compensation	4110	$9,140	$8,900	$18,040
14.	Clerical and administrative employees' expenses	4040	9,874	9,117	18,991
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	364	359	723
16.	Other employee compensation and benefits	4115	51	54	105
17.	Commission paid to other brokers	4140	64	53	117
18.	Floor brokerage paid to certain brokers	4055	237	237	474
19.	Commissions and clearance paid to all other brokers	4145	1,178	1,116	2,294
20.	Clearance paid to non-brokers	4135	684	681	1,365
21.	Communications	4060	1,398	1,412	2,810
22.	Occupancy and equipment costs	4080	1,302	1,298	2,600
23.	Promotional costs	4150	322	371	693
24.	Interest expense	4075	3,316	3,540	6,856
25.	Losses in error account and bad debts	4170	27	39	66
26.	Data processing costs (including service bureau service charges)	4186	480	484	964
27.	Non-recurring charges	4190	0	0	0
28.	Regulatory fees and expenses	4195	423	416	839
29.	Other expenses	4100	9,540	11,679	21,219
30.	Total expenses	4200	$38,400	$39,756	$78,156
NET INCOME					
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$5,348	$3,396	$8,744
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$284,124	$286,893	
	Number of Firms Profitable		106	106	
	Number of Firms Loss		48	47	
	TOTAL NUMBER OF FIRMS		154	153	