STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2015 1st QTR
ASSETS		Item	
1.	Cash	750	$29,583
2.	Cash segregated in compliance with federal and other regulations	760	69,538
3.	Receivable from brokers or dealers and clearing organizations:		
	A. Failed to deliver:	770	29,107
	B. Securities borrowed:	780	1,183,170
	C. Omnibus accounts:	790	21,821
	D. Clearing Organizations:	800	92,261
	E. Other	810	42,589
4.	Receivables from customers:	820	213,596
5.	Receivables from non-customers:	830	95,698
6.	Securities purchased under agreements to resell	840	955,421
7.	Securities and spot commodities owned, at market value:	850	791,226
8.	Securities owned not readily marketable:	860	34,442
9.	Other investments not readily marketable:	870	1,035
10.	Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:	880	0
11.	Secured demand notes-market value of collateral:	890	182
12.	Memberships in exchanges:	900	169
13.	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	15,123
14.	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	6,116
15.	Other Assets:	930	220,323
16.	TOTAL ASSETS	940	$3,801,400
LIABILITIES			
17.	Bank loans payable:		
	A. Includable in "Formula for Reserve Requirements"	1460	$1,453
	B. Other	1470	52,177
18.	Securities sold under repurchase agreements.	1480	1,325,018
19.	Payable to brokers or dealers and clearing organizations:		
	A. Failed to receive:		
	1. Includable in "Formula for Reserve Requirements"	1490	11,528
	2. Other	1500	18,019
	B. Securities loaned:		
	1. Includable in "Formula for Reserve Requirements"	1510	70,235
	2. Other	1520	467,588
	C. Omnibus accounts:		
	1. Includable in "Formula for Reserve Requirements"	1530	14,118
	2. Other	1540	732
	D. Clearing organizations:		
	1. Includable in "Formula for Reserve Requirements"	1550	717
	2. Other	1560	3,472
	E. Other	1570	58,210

STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2015 1st QTR
20.	Payable to customers:		
	A. Securities accounts	1580	638,947
	B. Commodities accounts	1590	137,398
21.	Payable to non customers:		
	A. Securities accounts	1600	$26,726
	B. Commodities accounts	1610	23,302
22.	Securities sold not yet purchased at market value	1620	303,810
23.	Accounts payable and accrued liabilities and expenses:		
	A. Drafts payable	1630	2,594
	B. Accounts payable	1640	17,684
	C. Income taxes payable	1650	4,075
	D. Deferred income taxes	1660	63
	E. Accrued expenses and other liabilities	1670	48,417
	F. Other	1680	17,701
	G. Obligation to return securities	1686	160,409
	H. SPE Liabilities	1687	4,018
24.	Notes and mortgages payable:		
	A. Unsecured	1690	61,788
	B. Secured	1700	44,509
25.	Liabilities subordinated to claims of general creditors:		
	A. Cash borrowings:	1710	109,195
	B. Securities borrowings, at market value:	1720	0
	C. Pursuant to secured demand not collateral agreements:	1730	174
	D. Exchange memberships contributed for use of company, at market value	1740	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	57
26.	TOTAL LIABILITIES	1760	$3,624,134
	OWNERSHIP EQUITY		
27.	Sole proprietorship	1770	$1,012
28.	Partnership - limited partners	1780	38,618
29.	Corporation:		
	A. Preferred stock	1791	1,285
	B. Common stock	1792	298
	C. Additional paid- in capital	1793	111,757
	D. Retained Earnings	1794	24,304
	E. Less capital stock in treasury	1796	(8)
30.	TOTAL OWNERSHIP EQUITY	1800	$177,266
31.	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	$3,801,400