STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED

($ in Millions) **2015**

		Item	1st QTR	Total
REVENUE				
1.	Commissions:			
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,662	$2,662
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	1,056	1,056
	c. Commissions on listed option transactions	3938	505	505
	d. All other securities commissions	3939	1,632	1,632
	e. Total securities commissions	3940	5,855	5,855
2.	Gains or losses on firm securities trading accounts	3950	4,257	4,257
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	(147)	(147)
3.	Gains or losses on firm securities investment accounts	3952	64	64
4.	Profits or losses from underwriting and selling groups	3955	6,373	6,373
5.	Margin interest	3960	1,701	1,701
6.	Income from sale of investment company shares	3970	1,678	1,678
7.	Fees for account supervision, investment advisory and administrative services	3975	9,959	9,959
8.	Revenue from research services	3980	26	26
9.	Other revenue related to securities business	3985	8,717	8,717
10.	Commodities revenue	3990	653	653
11.	Other revenue unrelated to securities business	3995	4,326	4,326
12.	Total revenue	4030	$43,609	$43,609
EXPENSES				
13.	Registered representatives' compensation	4110	$9,191	$9,191
14.	Clerical and administrative employees' expenses	4040	10,260	10,260
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	364	364
16.	Other employee compensation and benefits	4115	54	54
17.	Commission paid to other brokers	4140	70	70
18.	Floor brokerage paid to certain brokers	4055	178	178
19.	Commissions and clearance paid to all other brokers	4145	1,257	1,257
20.	Clearance paid to non-brokers	4135	876	876
21.	Communications	4060	1,327	1,327
22.	Occupancy and equipment costs	4080	1,459	1,459
23.	Promotional costs	4150	337	337
24.	Interest expense	4075	3,263	3,263
25.	Losses in error account and bad debts	4170	169	169
26.	Data processing costs (including service bureau service charges)	4186	469	469
27.	Non-recurring charges	4190	0	0
28.	Regulatory fees and expenses	4195	460	460
29.	Other expenses	4100	7,333	7,333
30.	Total expenses	4200	$37,067	$37,067
NET INCOME				
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$6,542	$6,542
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$286,692	
	Number of Firms Profitable		105	
	Number of Firms Loss		44	
	TOTAL NUMBER OF FIRMS		149	