NYSE MEMBER FIRMS DEALING WITH PUBLIC
($ in Millions)

	2nd QTR 2015	2nd QTR 2014	1st QTR 2015	YTD 2015	YTD 2014
Revenue	$42,507	$43,152	$43,609	$86,116	$86,900
Expense	$37,787	$39,756	$37,067	$74,854	$78,156
After Tax Profit Loss	$3,068	$2,207	$4,252	$7,320	$5,683
After Tax Annualized Return on Capital	4.3%	3.1%	5.9%	5.1%	4.0%
Assets	$3,706,121	$4,067,886	$3,801,400	$3,706,121	$4,067,886
Capital and subordinated liabilities	$288,155 *	$286,893	$286,692	$288,155 *	$286,893
Commission Revenues	$5,535	$5,500	$5,855	$11,390	$11,517
Firms	150	153	149	154	155
Profitable Firms	106	106	105	115	111
Aggregate PreTax Earnings of Profitable Firms	$5,895	$5,999	$6,943	$12,838	$12,447
Unprofitable Firms	44	47	44	39	44
Aggregate PreTax Loss of Unprofitable Firms	($1,177)	($2,603)	($401)	($1,578)	($3,703)

*** Record level**

Note: Data is from NYSE member firms that conduct business with the public. Revenues include firms that trade primarily for their own account, but excludes designated market makers (formerly known as specialists). All firms are registered broker-dealers with the SEC. Revenues are predominantly derived from investment banking, trading, commissions, and interest.

Since the NYSE member firm community is composed of corporations and partnerships, after-tax earnings are presented on a pro-forma basis that assumes a corporate tax rate of 35 percent.