STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED

($ in Millions)

			2015		
		Item	1st QTR	2nd QTR	Total
REVENUE					
1.	Commissions:				
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,662	$2,456	$5,118
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	1,056	952	2,008
	c. Commissions on listed option transactions	3938	505	474	979
	d. All other securities commissions	3939	1,632	1,653	3,285
	e. Total securities commissions	3940	5,855	5,535	11,390
2.	Gains or losses on firm securities trading accounts	3950	4,257	2,306	6,563
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	(147)	(209)	(356)
3.	Gains or losses on firm securities investment accounts	3952	64	82	146
4.	Profits or losses from underwriting and selling groups	3955	6,373	7,288	13,661
5.	Margin interest	3960	1,701	1,787	3,488
6.	Income from sale of investment company shares	3970	1,678	1,708	3,386
7.	Fees for account supervision, investment advisory and administrative services	3975	9,959	10,393	20,352
8.	Revenue from research services	3980	26	33	59
9.	Other revenue related to securities business	3985	8,717	9,246	17,963
10.	Commodities revenue	3990	653	423	1,076
11.	Other revenue unrelated to securities business	3995	4,326	3,706	8,032
12.	Total revenue	4030	$43,609	$42,507	$86,116
EXPENSES					
13.	Registered representatives' compensation	4110	$9,191	$9,153	$18,344
14.	Clerical and administrative employees' expenses	4040	10,260	9,657	19,917
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	364	369	733
16.	Other employee compensation and benefits	4115	54	55	109
17.	Commission paid to other brokers	4140	70	65	135
18.	Floor brokerage paid to certain brokers	4055	178	197	375
19.	Commissions and clearance paid to all other brokers	4145	1,257	1,251	2,508
20.	Clearance paid to non-brokers	4135	876	626	1,502
21.	Communications	4060	1,327	1,395	2,722
22.	Occupancy and equipment costs	4080	1,459	1,278	2,737
23.	Promotional costs	4150	337	369	706
24.	Interest expense	4075	3,263	3,202	6,465
25.	Losses in error account and bad debts	4170	169	68	237
26.	Data processing costs (including service bureau service charges)	4186	469	466	935
27.	Non-recurring charges	4190	0	0	0
28.	Regulatory fees and expenses	4195	460	413	873
29.	Other expenses	4100	7,333	9,223	16,556
30.	Total expenses	4200	$37,067	$37,787	$74,854
NET INCOME					
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$6,542	$4,720	$11,262
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$286,692	$288,155	
	Number of Firms Profitable		105	106	
	Number of Firms Loss		44	44	
	TOTAL NUMBER OF FIRMS		149	150	