NYSE MEMBER FIRMS DEALING WITH PUBLIC
($ in Millions)

	3rd QTR 2015	3rd QTR 2014	2nd QTR 2015	YTD 2015	YTD 2014
Revenue	$38,771	$38,524	$42,507	$124,887	$125,424
Expense	$35,568	$35,555	$37,787	$110,422	$113,711
After Tax Profit Loss	$2,082	$1,930	$3,068	$9,402	$7,613
After Tax Annualized Return on Capital	2.9%	2.7%	4.3%	4.4%	3.6%
Assets	$3,609,768	$3,915,375	$3,706,121	$3,609,768	$3,915,375
Capital and subordinated liabilities	$287,181	$281,774	$288,155 *	$287,181	$281,774
Commission Revenues	$5,692	$5,312	$5,535	$17,082	$16,829
Firms	141	152	150	155	156
Profitable Firms	92	97	106	108	107
Aggregate PreTax Earnings of Profitable Firms	$4,603	$4,180	$5,895	$17,441	$16,627
Unprofitable Firms	49	55	44	47	49
Aggregate PreTax Loss of Unprofitable Firms	($1,399)	($1,211)	($1,177)	($2,977)	($4,914)

*** Record level**

Note: Data is from NYSE member firms that conduct business with the public. Revenues include firms that trade primarily for their own account, but excludes designated market makers (formerly known as specialists). All firms are registered broker-dealers with the SEC. Revenues are predominantly derived from investment banking, trading, commissions, and interest.

Since the NYSE member firm community is composed of corporations and partnerships, after-tax earnings are presented on a pro-forma basis that assumes a corporate tax rate of 35 percent.