STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2015		
			1st QTR	2nd QTR	3rd QTR
ASSETS		Item			
1.	Cash	750	$29,583	$30,197	$27,125
2.	Cash segregated in compliance with federal and other regulations	760	69,538	64,444	66,847
3.	Receivable from brokers or dealers and clearing organizations:				
	A. Failed to deliver:	770	29,107	22,070	21,284
	B. Securities borrowed:	780	1,183,170	1,086,051	1,043,734
	C. Omnibus accounts:	790	21,821	19,387	13,352
	D. Clearing Organizations:	800	92,261	101,267	93,084
	E. Other	810	42,589	35,081	54,116
4.	Receivables from customers:	820	213,596	216,963	197,501
5.	Receivables from non-customers:	830	95,698	101,419	45,739
6.	Securities purchased under agreements to resell	840	955,421	979,509	1,042,712
7.	Securities and spot commodities owned, at market value:	850	791,226	772,104	747,390
8.	Securities owned not readily marketable:	860	34,442	33,484	32,103
9.	Other investments not readily marketable:	870	1,035	1,075	960
10.	Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:	880	0	0	0
11.	Secured demand notes-market value of collateral:	890	182	182	165
12.	Memberships in exchanges:	900	169	225	221
13.	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	15,123	15,407	15,476
14.	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	6,116	5,939	6,009
15.	Other Assets:	930	220,323	221,317	201,950
16.	TOTAL ASSETS	940	$3,801,400	$3,706,121	$3,609,768
LIABILITIES					
17.	Bank loans payable:				
	A. Includable in "Formula for Reserve Requirements"	1460	$1,453	$903	$762
	B. Other	1470	52,177	54,168	45,234
18.	Securities sold under repurchase agreements.	1480	1,325,018	1,266,679	1,254,528
19.	Payable to brokers or dealers and clearing organizations:				
	A. Failed to receive:				
	1. Includable in "Formula for Reserve Requirements"	1490	11,528	8,747	7,154
	2. Other	1500	18,019	15,439	11,795
	B. Securities loaned:				
	1. Includable in "Formula for Reserve Requirements"	1510	70,235	76,485	80,892
	2. Other	1520	467,588	419,814	378,295
	C. Omnibus accounts:				
	1. Includable in "Formula for Reserve Requirements"	1530	14,118	10,657	5,988
	2. Other	1540	732	609	238
	D. Clearing organizations:				
	1. Includable in "Formula for Reserve Requirements"	1550	717	898	487
	2. Other	1560	3,472	4,326	4,174
	E. Other	1570	58,210	55,866	29,671

STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2015		
			1st QTR	2nd QTR	3rd QTR
20.	Payable to customers:				
	A. Securities accounts	1580	638,947	612,515	628,227
	B. Commodities accounts	1590	137,398	142,256	125,066
21.	Payable to non customers:				
	A. Securities accounts	1600	$26,726	$24,747	$38,611
	B. Commodities accounts	1610	23,302	17,282	18,582
22.	Securities sold not yet purchased at market value	1620	303,810	306,437	304,953
23.	Accounts payable and accrued liabilities and expenses:				
	A. Drafts payable	1630	2,594	2,299	2,055
	B. Accounts payable	1640	17,684	22,111	22,798
	C. Income taxes payable	1650	4,075	3,862	3,694
	D. Deferred income taxes	1660	63	60	53
	E. Accrued expenses and other liabilities	1670	48,417	54,154	56,861
	F. Other	1680	17,701	18,879	21,776
	G. Obligation to return securities	1686	160,409	160,402	142,847
	H. SPE Liabilities	1687	4,018	3,643	3,788
24.	Notes and mortgages payable:				
	A. Unsecured	1690	61,788	77,487	64,320
	B. Secured	1700	44,509	57,241	69,738
25.	Liabilities subordinated to claims of general creditors:				
	A. Cash borrowings:	1710	109,195	109,137	108,336
	B. Securities borrowings, at market value:	1720	0	0	0
	C. Pursuant to secured demand not collateral agreements:	1730	174	174	165
	D. Exchange memberships contributed for use of company, at market value	1740	0	0	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	57	0	0
26.	TOTAL LIABILITIES	1760	$3,624,134	$3,527,277	$3,431,088
	OWNERSHIP EQUITY				
27.	Sole proprietorship	1770	$1,012	$1,035	$1,016
28.	Partnership - limited partners	1780	38,618	38,663	38,870
29.	Corporation:				
	A. Preferred stock	1791	1,285	1,285	1,284
	B. Common stock	1792	298	297	296
	C. Additional paid- in capital	1793	111,757	112,310	112,394
	D. Retained Earnings	1794	24,304	25,262	24,828
	E. Less capital stock in treasury	1796	(8)	(8)	(8)
30.	TOTAL OWNERSHIP EQUITY	1800	$177,266	$178,844	$178,680
31.	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	$3,801,400	$3,706,121	$3,609,768