STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED

($ in Millions) **2015**

		Item	1st QTR	2nd QTR	3rd QTR	Total
REVENUE						
1.	Commissions:					
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,662	$2,456	$2,571	$7,689
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	1,056	952	972	2,980
	c. Commissions on listed option transactions	3938	505	474	541	1,520
	d. All other securities commissions	3939	1,632	1,653	1,608	4,893
	e. Total securities commissions	3940	5,855	5,535	5,692	17,082
2.	Gains or losses on firm securities trading accounts	3950	4,257	2,306	665	7,228
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	(147)	(209)	261	(95)
3.	Gains or losses on firm securities investment accounts	3952	64	82	(171)	(25)
4.	Profits or losses from underwriting and selling groups	3955	6,373	7,288	4,842	18,503
5.	Margin interest	3960	1,701	1,787	1,777	5,265
6.	Income from sale of investment company shares	3970	1,678	1,708	1,593	4,979
7.	Fees for account supervision, investment advisory and administrative services	3975	9,959	10,393	10,232	30,584
8.	Revenue from research services	3980	26	33	34	93
9.	Other revenue related to securities business	3985	8,717	9,246	9,184	27,147
10.	Commodities revenue	3990	653	423	566	1,642
11.	Other revenue unrelated to securities business	3995	4,326	3,706	4,357	12,389
12.	Total revenue	4030	$43,609	$42,507	$38,771	$124,887
EXPENSES						
13.	Registered representatives' compensation	4110	$9,191	$9,153	$8,621	$26,965
14.	Clerical and administrative employees' expenses	4040	10,260	9,657	8,095	28,012
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	364	369	383	1,116
16.	Other employee compensation and benefits	4115	54	55	55	164
17.	Commission paid to other brokers	4140	70	65	54	189
18.	Floor brokerage paid to certain brokers	4055	178	197	199	574
19.	Commissions and clearance paid to all other brokers	4145	1,257	1,251	1,299	3,807
20.	Clearance paid to non-brokers	4135	876	626	798	2,300
21.	Communications	4060	1,327	1,395	1,340	4,062
22.	Occupancy and equipment costs	4080	1,459	1,278	1,314	4,051
23.	Promotional costs	4150	337	369	339	1,045
24.	Interest expense	4075	3,263	3,202	3,484	9,949
25.	Losses in error account and bad debts	4170	169	68	22	259
26.	Data processing costs (including service bureau service charges)	4186	469	466	460	1,395
27.	Non-recurring charges	4190	0	0	1	1
28.	Regulatory fees and expenses	4195	460	413	422	1,295
29.	Other expenses	4100	7,333	9,223	8,682	25,238
30.	Total expenses	4200	$37,067	$37,787	$35,568	$110,422
NET INCOME						
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$6,542	$4,720	$3,203	$14,465
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$286,692	$288,155	$287,181	
	Number of Firms Profitable		105	106	92	
	Number of Firms Loss		44	44	49	
	TOTAL NUMBER OF FIRMS		149	150	141	