STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2016
			1st QTR
ASSETS		Item	
1.	Cash	750	$25,890
2.	Cash segregated in compliance with federal and other regulations	760	62,953
3.	Receivable from brokers or dealers and clearing organizations:		
	A. Failed to deliver:	770	24,393
	B. Securities borrowed:	780	1,048,059
	C. Omnibus accounts:	790	19,955
	D. Clearing Organizations:	800	81,238
	E. Other	810	54,996
4.	Receivables from customers:	820	182,298
5.	Receivables from non-customers:	830	42,428
6.	Securities purchased under agreements to resell	840	922,167
7.	Securities and spot commodities owned, at market value:	850	711,290
8.	Securities owned not readily marketable:	860	25,019
9.	Other investments not readily marketable:	870	992
10.	Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:	880	0
11.	Secured demand notes-market value of collateral:	890	161
12.	Memberships in exchanges:	900	220
13.	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	16,643
14.	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	4,747
15.	Other Assets:	930	184,808
16.	TOTAL ASSETS	940	$3,408,257
LIABILITIES			
17.	Bank loans payable:		
	A. Includable in "Formula for Reserve Requirements"	1460	$931
	B. Other	1470	49,117
18.	Securities sold under repurchase agreements.	1480	1,147,975
19.	Payable to brokers or dealers and clearing organizations:		
	A. Failed to receive:		
	1. Includable in "Formula for Reserve Requirements"	1490	7,300
	2. Other	1500	16,429
	B. Securities loaned:		
	1. Includable in "Formula for Reserve Requirements"	1510	78,081
	2. Other	1520	319,329
	C. Omnibus accounts:		
	1. Includable in "Formula for Reserve Requirements"	1530	13,455
	2. Other	1540	325
	D. Clearing organizations:		
	1. Includable in "Formula for Reserve Requirements"	1550	649
	2. Other	1560	4,544
	E. Other	1570	45,775

STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2016 1st QTR
20.	Payable to customers:		
	A. Securities accounts	1580	649,859
	B. Commodities accounts	1590	117,447
21.	Payable to non customers:		
	A. Securities accounts	1600	$33,461
	B. Commodities accounts	1610	17,079
22.	Securities sold not yet purchased at market value	1620	278,946
23.	Accounts payable and accrued liabilities and expenses:		
	A. Drafts payable	1630	2,244
	B. Accounts payable	1640	23,853
	C. Income taxes payable	1650	3,561
	D. Deferred income taxes	1660	55
	E. Accrued expenses and other liabilities	1670	46,269
	F. Other	1680	23,377
	G. Obligation to return securities	1686	126,576
	H. SPE Liabilities	1687	3,554
24.	Notes and mortgages payable:		
	A. Unsecured	1690	59,464
	B. Secured	1700	58,567
25.	Liabilities subordinated to claims of general creditors:		
	A. Cash borrowings:	1710	105,156
	B. Securities borrowings, at market value:	1720	0
	C. Pursuant to secured demand not collateral agreements:	1730	161
	D. Exchange memberships contributed for use of company, at market value	1740	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	0
26.	TOTAL LIABILITIES	1760	$3,233,539
	OWNERSHIP EQUITY		
27.	Sole proprietorship	1770	$1,008
28.	Partnership - limited partners	1780	38,480
29.	Corporation:		
	A. Preferred stock	1791	1,285
	B. Common stock	1792	393
	C. Additional paid- in capital	1793	113,250
	D. Retained Earnings	1794	20,311
	E. Less capital stock in treasury	1796	(9)
30.	TOTAL OWNERSHIP EQUITY	1800	$174,718
31.	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	$3,408,257