STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2016	
			1st QTR	2nd QTR
ASSETS		Item		
1.	Cash	750	$25,890	$27,837
2.	Cash segregated in compliance with federal and other regulations	760	62,953	63,253
3.	Receivable from brokers or dealers and clearing organizations:			
	A. Failed to deliver:	770	24,393	37,444
	B. Securities borrowed:	780	1,048,059	974,100
	C. Omnibus accounts:	790	19,955	24,211
	D. Clearing Organizations:	800	81,238	82,262
	E. Other	810	54,996	54,331
4.	Receivables from customers:	820	182,298	188,818
5.	Receivables from non-customers:	830	42,428	41,235
6.	Securities purchased under agreements to resell	840	922,167	1,010,091
7.	Securities and spot commodities owned, at market value:	850	711,290	732,692
8.	Securities owned not readily marketable:	860	25,019	21,310
9.	Other investments not readily marketable:	870	992	1,041
10.	Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:	880	0	0
11.	Secured demand notes-market value of collateral:	890	161	161
12.	Memberships in exchanges:	900	220	232
13.	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	16,643	17,085
14.	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	4,747	4,828
15.	Other Assets:	930	184,808	193,944
16.	TOTAL ASSETS	940	$3,408,257	$3,474,875
LIABILITIES				
17.	Bank loans payable:			
	A. Includable in "Formula for Reserve Requirements"	1460	$931	$2,596
	B. Other	1470	49,117	56,104
18.	Securities sold under repurchase agreements.	1480	1,147,975	1,194,120
19.	Payable to brokers or dealers and clearing organizations:			
	A. Failed to receive:			
	1. Includable in "Formula for Reserve Requirements"	1490	7,300	10,976
	2. Other	1500	16,429	27,325
	B. Securities loaned:			
	1. Includable in "Formula for Reserve Requirements"	1510	78,081	69,928
	2. Other	1520	319,329	311,985
	C. Omnibus accounts:			
	1. Includable in "Formula for Reserve Requirements"	1530	13,455	17,649
	2. Other	1540	325	641
	D. Clearing organizations:			
	1. Includable in "Formula for Reserve Requirements"	1550	649	1,846
	2. Other	1560	4,544	7,341
	E. Other	1570	45,775	47,715

STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2016	
			1st QTR	2nd QTR
20.	Payable to customers:			
	A. Securities accounts	1580	649,859	651,120
	B. Commodities accounts	1590	117,447	118,555
21.	Payable to non customers:			
	A. Securities accounts	1600	$33,461	$29,735
	B. Commodities accounts	1610	17,079	18,567
22.	Securities sold not yet purchased at market value	1620	278,946	284,682
23.	Accounts payable and accrued liabilities and expenses:			
	A. Drafts payable	1630	2,244	2,255
	B. Accounts payable	1640	23,853	25,473
	C. Income taxes payable	1650	3,561	3,062
	D. Deferred income taxes	1660	55	51
	E. Accrued expenses and other liabilities	1670	46,269	45,758
	F. Other	1680	23,377	18,689
	G. Obligation to return securities	1686	126,576	135,030
	H. SPE Liabilities	1687	3,554	3,289
24.	Notes and mortgages payable:			
	A. Unsecured	1690	59,464	55,600
	B. Secured	1700	58,567	53,866
25.	Liabilities subordinated to claims of general creditors:			
	A. Cash borrowings:	1710	105,156	104,460
	B. Securities borrowings, at market value:	1720	0	0
	C. Pursuant to secured demand not collateral agreements:	1730	161	161
	D. Exchange memberships contributed for use of company, at market value	1740	0	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	0	29
26.	TOTAL LIABILITIES	1760	$3,233,539	$3,298,608
	OWNERSHIP EQUITY			
27.	Sole proprietorship	1770	$1,008	$911
28.	Partnership - limited partners	1780	38,480	38,509
29.	Corporation:			
	A. Preferred stock	1791	1,285	1,285
	B. Common stock	1792	393	297
	C. Additional paid- in capital	1793	113,250	112,607
	D. Retained Earnings	1794	20,311	22,666
	E. Less capital stock in treasury	1796	(9)	(8)
30.	TOTAL OWNERSHIP EQUITY	1800	$174,718	$176,267
31.	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	$3,408,257	$3,474,875