STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED

($ in Millions) **2016**

		Item	1st QTR	2nd QTR	Total
REVENUE					
1.	Commissions:				
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,687	$2,310	$4,997
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	876	865	1,741
	c. Commissions on listed option transactions	3938	458	380	838
	d. All other securities commissions	3939	1,753	1,858	3,611
	e. Total securities commissions	3940	5,774	5,413	11,187
2.	Gains or losses on firm securities trading accounts	3950	1,840	3,096	4,936
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	47	(27)	20
3.	Gains or losses on firm securities investment accounts	3952	206	146	352
4.	Profits or losses from underwriting and selling groups	3955	3,957	5,232	9,189
5.	Margin interest	3960	1,993	1,816	3,809
6.	Income from sale of investment company shares	3970	1,489	1,547	3,036
7.	Fees for account supervision, investment advisory and administrative services	3975	10,276	10,403	20,679
8.	Revenue from research services	3980	37	38	75
9.	Other revenue related to securities business	3985	9,787	9,871	19,658
10.	Commodities revenue	3990	678	612	1,290
11.	Other revenue unrelated to securities business	3995	3,428	4,215	7,643
12.	Total revenue	4030	$39,465	$42,389	$81,854
EXPENSES					
13.	Registered representatives' compensation	4110	$8,683	$8,780	$17,463
14.	Clerical and administrative employees' expenses	4040	9,072	8,918	17,990
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	370	402	772
16.	Other employee compensation and benefits	4115	52	45	97
17.	Commission paid to other brokers	4140	23	24	47
18.	Floor brokerage paid to certain brokers	4055	183	166	349
19.	Commissions and clearance paid to all other brokers	4145	1,288	1,251	2,539
20.	Clearance paid to non-brokers	4135	857	840	1,697
21.	Communications	4060	1,286	1,179	2,465
22.	Occupancy and equipment costs	4080	1,298	1,269	2,567
23.	Promotional costs	4150	333	353	686
24.	Interest expense	4075	4,674	4,922	9,596
25.	Losses in error account and bad debts	4170	47	45	92
26.	Data processing costs (including service bureau service charges)	4186	439	445	884
27.	Non-recurring charges	4190	8	4	12
28.	Regulatory fees and expenses	4195	487	455	942
29.	Other expenses	4100	6,993	7,407	14,400
30.	Total expenses	4200	$36,093	$36,505	$72,598
NET INCOME					
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$3,372	$5,884	$9,256
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$280,035	$280,917	
	Number of Firms Profitable		87	94	
	Number of Firms Loss		47	37	
	TOTAL NUMBER OF FIRMS		134	131	