STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2017
			1st QTR
ASSETS		Item	
1.	Cash	750	$39,222
2.	Cash segregated in compliance with federal and other regulations	760	64,280
3.	Receivable from brokers or dealers and clearing organizations:		
	A. Failed to deliver:	770	22,765
	B. Securities borrowed:	780	905,849
	C. Omnibus accounts:	790	22,319
	D. Clearing Organizations:	800	80,173
	E. Other	810	51,617
4.	Receivables from customers:	820	198,268
5.	Receivables from non-customers:	830	37,865
6.	Securities purchased under agreements to resell	840	883,311
7.	Securities and spot commodities owned, at market value:	850	745,505
8.	Securities owned not readily marketable:	860	24,272
9.	Other investments not readily marketable:	870	1,081
10.	Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:	880	0
11.	Secured demand notes-market value of collateral:	890	167
12.	Memberships in exchanges:	900	204
13.	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	10,073
14.	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	5,009
15.	Other Assets:	930	213,122
16.	TOTAL ASSETS	940	$3,305,102
LIABILITIES			
17.	Bank loans payable:		
	A. Includable in "Formula for Reserve Requirements"	1460	$13,496
	B. Other	1470	43,927
18.	Securities sold under repurchase agreements.	1480	1,110,044
19.	Payable to brokers or dealers and clearing organizations:		
	A. Failed to receive:		
	1. Includable in "Formula for Reserve Requirements"	1490	8,317
	2. Other	1500	15,177
	B. Securities loaned:		
	1. Includable in "Formula for Reserve Requirements"	1510	84,461
	2. Other	1520	255,343
	C. Omnibus accounts:		
	1. Includable in "Formula for Reserve Requirements"	1530	14,136
	2. Other	1540	145
	D. Clearing organizations:		
	1. Includable in "Formula for Reserve Requirements"	1550	517
	2. Other	1560	3,061
	E. Other	1570	24,346

STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2017
			1st QTR
20.	Payable to customers:		
	A. Securities accounts	1580	627,281
	B. Commodities accounts	1590	115,764
21.	Payable to non customers:		
	A. Securities accounts	1600	$24,880
	B. Commodities accounts	1610	21,122
22.	Securities sold not yet purchased at market value	1620	273,485
23.	Accounts payable and accrued liabilities and expenses:		
	A. Drafts payable	1630	2,755
	B. Accounts payable	1640	33,689
	C. Income taxes payable	1650	3,352
	D. Deferred income taxes	1660	35
	E. Accrued expenses and other liabilities	1670	45,313
	F. Other	1680	12,229
	G. Obligation to return securities	1686	156,078
	H. SPE Liabilities	1687	2,551
24.	Notes and mortgages payable:		
	A. Unsecured	1690	80,728
	B. Secured	1700	47,305
25.	Liabilities subordinated to claims of general creditors:		
	A. Cash borrowings:	1710	105,265
	B. Securities borrowings, at market value:	1720	0
	C. Pursuant to secured demand not collateral agreements:	1730	167
	D. Exchange memberships contributed for use of company, at market value	1740	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	4,000
26.	TOTAL LIABILITIES	1760	$3,128,969
	OWNERSHIP EQUITY		
27.	Sole proprietorship	1770	$1,026
28.	Partnership - limited partners	1780	56,316
29.	Corporation:		
	A. Preferred stock	1791	1,285
	B. Common stock	1792	295
	C. Additional paid- in capital	1793	111,268
	D. Retained Earnings	1794	5,951
	E. Less capital stock in treasury	1796	(8)
30.	TOTAL OWNERSHIP EQUITY	1800	$176,133
31.	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	$3,305,102