STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED

($ in Millions) **2017**

		Item	1st QTR	Total
REVENUE				
1.	Commissions:			
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,277	$2,277
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	864	864
	c. Commissions on listed option transactions	3938	357	357
	d. All other securities commissions	3939	1,747	1,747
	e. Total securities commissions	3940	5,245	5,245
2.	Gains or losses on firm securities trading accounts	3950	4,317	4,317
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	(328)	(328)
3.	Gains or losses on firm securities investment accounts	3952	242	242
4.	Profits or losses from underwriting and selling groups	3955	5,803	5,803
5.	Margin interest	3960	1,923	1,923
6.	Income from sale of investment company shares	3970	1,555	1,555
7.	Fees for account supervision, investment advisory and administrative services	3975	11,951	11,951
8.	Revenue from research services	3980	42	42
9.	Other revenue related to securities business	3985	10,489	10,489
10.	Commodities revenue	3990	654	654
11.	Other revenue unrelated to securities business	3995	3,979	3,979
12.	Total revenue	4030	$46,200	$46,200
EXPENSES				
13.	Registered representatives' compensation	4110	$9,471	$9,471
14.	Clerical and administrative employees' expenses	4040	9,717	9,717
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	360	360
16.	Other employee compensation and benefits	4115	50	50
17.	Commission paid to other brokers	4140	21	21
18.	Floor brokerage paid to certain brokers	4055	157	157
19.	Commissions and clearance paid to all other brokers	4145	1,057	1,057
20.	Clearance paid to non-brokers	4135	801	801
21.	Communications	4060	1,179	1,179
22.	Occupancy and equipment costs	4080	1,224	1,224
23.	Promotional costs	4150	299	299
24.	Interest expense	4075	6,699	6,699
25.	Losses in error account and bad debts	4170	129	129
26.	Data processing costs (including service bureau service charges)	4186	429	429
27.	Non-recurring charges	4190	20	20
28.	Regulatory fees and expenses	4195	473	473
29.	Other expenses	4100	7,976	7,976
30.	Total expenses	4200	$40,062	$40,062
NET INCOME				
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$6,138	$6,138
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$285,565	
	Number of Firms Profitable		88	
	Number of Firms Loss		41	
	TOTAL NUMBER OF FIRMS		129	