STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED

($ in Millions) **2017**

		Item	1st QTR	2nd QTR	Total
REVENUE					
1.	Commissions:				
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,277	$2,148	$4,425
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	864	818	1,682
	c. Commissions on listed option transactions	3938	357	345	702
	d. All other securities commissions	3939	1,747	1,751	3,498
	e. Total securities commissions	3940	5,245	5,062	10,307
2.	Gains or losses on firm securities trading accounts	3950	4,317	2,750	7,067
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	(328)	147	(181)
3.	Gains or losses on firm securities investment accounts	3952	242	86	328
4.	Profits or losses from underwriting and selling groups	3955	5,803	5,486	11,289
5.	Margin interest	3960	1,923	2,365	4,288
6.	Income from sale of investment company shares	3970	1,555	1,521	3,076
7.	Fees for account supervision, investment advisory and administrative services	3975	11,951	12,781	24,732
8.	Revenue from research services	3980	42	48	90
9.	Other revenue related to securities business	3985	10,489	11,985	22,474
10.	Commodities revenue	3990	654	612	1,266
11.	Other revenue unrelated to securities business	3995	3,979	4,005	7,984
12.	Total revenue	4030	$46,200	$46,701	$92,901
EXPENSES					
13.	Registered representatives' compensation	4110	$9,471	$9,324	$18,795
14.	Clerical and administrative employees' expenses	4040	9,717	8,365	18,082
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	360	375	735
16.	Other employee compensation and benefits	4115	50	52	102
17.	Commission paid to other brokers	4140	21	23	44
18.	Floor brokerage paid to certain brokers	4055	157	165	322
19.	Commissions and clearance paid to all other brokers	4145	1,057	937	1,994
20.	Clearance paid to non-brokers	4135	801	1,013	1,814
21.	Communications	4060	1,179	1,187	2,366
22.	Occupancy and equipment costs	4080	1,224	1,190	2,414
23.	Promotional costs	4150	299	346	645
24.	Interest expense	4075	6,699	8,690	15,389
25.	Losses in error account and bad debts	4170	129	32	161
26.	Data processing costs (including service bureau service charges)	4186	429	430	859
27.	Non-recurring charges	4190	20	(6)	14
28.	Regulatory fees and expenses	4195	473	433	906
29.	Other expenses	4100	7,976	7,989	15,965
30.	Total expenses	4200	$40,062	$40,545	$80,607
NET INCOME					
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$6,138	$6,156	$12,294
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$285,565	$287,332	
	Number of Firms Profitable		88	97	
	Number of Firms Loss		41	35	
	TOTAL NUMBER OF FIRMS		129	132	