STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2018
			1st QTR
ASSETS		Item	
1.	Cash	750	$35,285
2.	Cash segregated in compliance with federal and other regulations	760	70,931
3.	Receivable from brokers or dealers and clearing organizations:		
	A. Failed to deliver:	770	26,126
	B. Securities borrowed:	780	933,380
	C. Omnibus accounts:	790	24,607
	D. Clearing Organizations:	800	72,881
	E. Other	810	52,990
4.	Receivables from customers:	820	249,363
5.	Receivables from non-customers:	830	31,379
6.	Securities purchased under agreements to resell	840	834,023
7.	Securities and spot commodities owned, at market value:	850	764,469
8.	Securities owned not readily marketable:	860	21,174
9.	Other investments not readily marketable:	870	1,238
10.	Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:	880	0
11.	Secured demand notes-market value of collateral:	890	470
12.	Memberships in exchanges:	900	201
13.	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	9,893
14.	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	5,260
15.	Other Assets:	930	168,795
16.	TOTAL ASSETS	940	$3,302,465
LIABILITIES			
17.	Bank loans payable:		
	A. Includable in "Formula for Reserve Requirements"	1460	$1,266
	B. Other	1470	56,474
18.	Securities sold under repurchase agreements.	1480	1,066,702
19.	Payable to brokers or dealers and clearing organizations:		
	A. Failed to receive:		
	1. Includable in "Formula for Reserve Requirements"	1490	10,057
	2. Other	1500	15,457
	B. Securities loaned:		
	1. Includable in "Formula for Reserve Requirements"	1510	71,884
	2. Other	1520	285,295
	C. Omnibus accounts:		
	1. Includable in "Formula for Reserve Requirements"	1530	13,308
	2. Other	1540	256
	D. Clearing organizations:		
	1. Includable in "Formula for Reserve Requirements"	1550	725
	2. Other	1560	3,956
	E. Other	1570	32,557

STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2018
			1st QTR
20.	Payable to customers:		
	A. Securities accounts	1580	576,468
	B. Commodities accounts	1590	114,012
21.	Payable to non customers:		
	A. Securities accounts	1600	$35,822
	B. Commodities accounts	1610	14,909
22.	Securities sold not yet purchased at market value	1620	296,432
23.	Accounts payable and accrued liabilities and expenses:		
	A. Drafts payable	1630	1,817
	B. Accounts payable	1640	40,915
	C. Income taxes payable	1650	2,159
	D. Deferred income taxes	1660	21
	E. Accrued expenses and other liabilities	1670	59,816
	F. Other	1680	9,184
	G. Obligation to return securities	1686	117,628
	H. SPE Liabilities	1687	696
24.	Notes and mortgages payable:		
	A. Unsecured	1690	99,793
	B. Secured	1700	73,321
25.	Liabilities subordinated to claims of general creditors:		
	A. Cash borrowings:	1710	114,571
	B. Securities borrowings, at market value:	1720	0
	C. Pursuant to secured demand not collateral agreements:	1730	470
	D. Exchange memberships contributed for use of company, at market value	1740	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	0
26.	TOTAL LIABILITIES	1760	$3,115,971
	OWNERSHIP EQUITY		
27.	Sole proprietorship	1770	$1,332
28.	Partnership - limited partners	1780	57,020
29.	Corporation:		
	A. Preferred stock	1791	1,284
	B. Common stock	1792	293
	C. Additional paid- in capital	1793	118,314
	D. Retained Earnings	1794	8,259
	E. Less capital stock in treasury	1796	(8)
30.	TOTAL OWNERSHIP EQUITY	1800	$186,494
31.	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	$3,302,465