STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED

($ in Millions) **2018**

		Item	1st QTR	Total
REVENUE				
1.	Commissions:			
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,476	$2,476
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	788	788
	c. Commissions on listed option transactions	3938	483	483
	d. All other securities commissions	3939	1,773	1,773
	e. Total securities commissions	3940	5,520	5,520
2.	Gains or losses on firm securities trading accounts	3950	4,749	4,749
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	63	63
3.	Gains or losses on firm securities investment accounts	3952	133	133
4.	Profits or losses from underwriting and selling groups	3955	6,174	6,174
5.	Margin interest	3960	3,238	3,238
6.	Income from sale of investment company shares	3970	1,399	1,399
7.	Fees for account supervision, investment advisory and administrative services	3975	14,004	14,004
8.	Revenue from research services	3980	55	55
9.	Other revenue related to securities business	3985	15,152	15,152
10.	Commodities revenue	3990	1,017	1,017
11.	Other revenue unrelated to securities business	3995	3,801	3,801
12.	Total revenue	4030	$55,242	$55,242
EXPENSES				
13.	Registered representatives' compensation	4110	$9,959	$9,959
14.	Clerical and administrative employees' expenses	4040	9,689	9,689
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	427	427
16.	Other employee compensation and benefits	4115	108	108
17.	Commission paid to other brokers	4140	30	30
18.	Floor brokerage paid to certain brokers	4055	203	203
19.	Commissions and clearance paid to all other brokers	4145	1,011	1,011
20.	Clearance paid to non-brokers	4135	1,040	1,040
21.	Communications	4060	1,079	1,079
22.	Occupancy and equipment costs	4080	1,185	1,185
23.	Promotional costs	4150	357	357
24.	Interest expense	4075	12,858	12,858
25.	Losses in error account and bad debts	4170	43	43
26.	Data processing costs (including service bureau service charges)	4186	581	581
27.	Non-recurring charges	4190	0	0
28.	Regulatory fees and expenses	4195	521	521
29.	Other expenses	4100	9,433	9,433
30.	Total expenses	4200	$48,524	$48,524
NET INCOME				
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$6,718	$6,718
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$301,535	
	Number of Firms Profitable		94	
	Number of Firms Loss		30	
	TOTAL NUMBER OF FIRMS		124	