STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2018	
			1st QTR	2nd QTR
ASSETS		Item		
1.	Cash	750	$35,285	$33,058
2.	Cash segregated in compliance with federal and other regulations	760	70,931	65,834
3.	Receivable from brokers or dealers and clearing organizations:			
	A. Failed to deliver:	770	26,126	28,531
	B. Securities borrowed:	780	933,380	934,675
	C. Omnibus accounts:	790	24,607	28,055
	D. Clearing Organizations:	800	72,881	65,219
	E. Other	810	52,990	40,545
4.	Receivables from customers:	820	249,363	240,722
5.	Receivables from non-customers:	830	31,379	33,512
6.	Securities purchased under agreements to resell	840	834,023	849,894
7.	Securities and spot commodities owned, at market value:	850	764,469	809,107
8.	Securities owned not readily marketable:	860	21,174	19,676
9.	Other investments not readily marketable:	870	1,238	1,244
10.	Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:	880	0	0
11.	Secured demand notes-market value of collateral:	890	470	467
12.	Memberships in exchanges:	900	201	195
13.	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	9,893	10,702
14.	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	5,260	5,383
15.	Other Assets:	930	168,795	171,712
16.	TOTAL ASSETS	940	$3,302,465	$3,338,531
LIABILITIES				
17.	Bank loans payable:			
	A. Includable in "Formula for Reserve Requirements"	1460	$1,266	$1,493
	B. Other	1470	56,474	55,913
18.	Securities sold under repurchase agreements.	1480	1,066,702	1,119,995
19.	Payable to brokers or dealers and clearing organizations:			
	A. Failed to receive:			
	1. Includable in "Formula for Reserve Requirements"	1490	10,057	11,800
	2. Other	1500	15,457	18,233
	B. Securities loaned:			
	1. Includable in "Formula for Reserve Requirements"	1510	71,884	81,978
	2. Other	1520	285,295	266,297
	C. Omnibus accounts:			
	1. Includable in "Formula for Reserve Requirements"	1530	13,308	14,720
	2. Other	1540	256	230
	D. Clearing organizations:			
	1. Includable in "Formula for Reserve Requirements"	1550	725	812
	2. Other	1560	3,956	5,118
	E. Other	1570	32,557	35,690

STATEMENT OF FINANCIAL CONDITION

($ in Millions)

			2018	
			1st QTR	2nd QTR
20.	Payable to customers:			
	A. Securities accounts	1580	576,468	583,390
	B. Commodities accounts	1590	114,012	110,478
21.	Payable to non customers:			
	A. Securities accounts	1600	$35,822	$29,925
	B. Commodities accounts	1610	14,909	16,698
22.	Securities sold not yet purchased at market value	1620	296,432	291,540
23.	Accounts payable and accrued liabilities and expenses:			
	A. Drafts payable	1630	1,817	1,893
	B. Accounts payable	1640	40,915	36,416
	C. Income taxes payable	1650	2,159	2,774
	D. Deferred income taxes	1660	21	20
	E. Accrued expenses and other liabilities	1670	59,816	54,534
	F. Other	1680	9,184	9,330
	G. Obligation to return securities	1686	117,628	120,690
	H. SPE Liabilities	1687	696	511
24.	Notes and mortgages payable:			
	A. Unsecured	1690	99,793	95,735
	B. Secured	1700	73,321	69,166
25.	Liabilities subordinated to claims of general creditors:			
	A. Cash borrowings:	1710	114,571	124,548
	B. Securities borrowings, at market value:	1720	0	0
	C. Pursuant to secured demand not collateral agreements:	1730	470	468
	D. Exchange memberships contributed for use of company, at market value	1740	0	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	0	0
26.	TOTAL LIABILITIES	1760	$3,115,971	$3,160,395
	OWNERSHIP EQUITY			
27.	Sole proprietorship	1770	$1,332	$1,598
28.	Partnership - limited partners	1780	57,020	58,259
29.	Corporation:			
	A. Preferred stock	1791	1,284	1,284
	B. Common stock	1792	293	293
	C. Additional paid- in capital	1793	118,314	118,388
	D. Retained Earnings	1794	8,259	(1,678)
	E. Less capital stock in treasury	1796	(8)	(8)
30.	TOTAL OWNERSHIP EQUITY	1800	$186,494	$178,136
31.	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	$3,302,465	$3,338,531