STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED

($ in Millions)

2018

		Item	1st QTR	2nd QTR	Total
REVENUE					
1.	Commissions:				
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,476	$2,140	$4,616
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	788	696	1,484
	c. Commissions on listed option transactions	3938	483	422	905
	d. All other securities commissions	3939	1,773	1,763	3,536
	e. Total securities commissions	3940	5,520	5,021	10,541
2.	Gains or losses on firm securities trading accounts	3950	4,749	4,037	8,786
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	63	(116)	(53)
3.	Gains or losses on firm securities investment accounts	3952	133	72	205
4.	Profits or losses from underwriting and selling groups	3955	6,174	6,276	12,450
5.	Margin interest	3960	3,238	3,640	6,878
6.	Income from sale of investment company shares	3970	1,399	1,360	2,759
7.	Fees for account supervision, investment advisory and administrative services	3975	14,004	14,265	28,269
8.	Revenue from research services	3980	55	58	113
9.	Other revenue related to securities business	3985	15,152	17,487	32,639
10.	Commodities revenue	3990	1,017	810	1,827
11.	Other revenue unrelated to securities business	3995	3,801	4,031	7,832
12.	Total revenue	4030	$55,242	$57,057	$112,299
EXPENSES					
13.	Registered representatives' compensation	4110	$9,959	$9,659	$19,618
14.	Clerical and administrative employees' expenses	4040	9,689	8,835	18,524
15.	Salaries and other employment costs for general partners and voting stockholder officers	4120	427	453	880
16.	Other employee compensation and benefits	4115	108	208	316
17.	Commission paid to other brokers	4140	30	12	42
18.	Floor brokerage paid to certain brokers	4055	203	91	294
19.	Commissions and clearance paid to all other brokers	4145	1,011	1,138	2,149
20.	Clearance paid to non-brokers	4135	1,040	959	1,999
21.	Communications	4060	1,079	1,048	2,127
22.	Occupancy and equipment costs	4080	1,185	1,149	2,334
23.	Promotional costs	4150	357	368	725
24.	Interest expense	4075	12,858	15,260	28,118
25.	Losses in error account and bad debts	4170	43	23	66
26.	Data processing costs (including service bureau service charges)	4186	581	621	1,202
27.	Non-recurring charges	4190	0	0	0
28.	Regulatory fees and expenses	4195	521	453	974
29.	Other expenses	4100	9,433	9,809	19,242
30.	Total expenses	4200	$48,524	$50,086	$98,610
NET INCOME					
31.	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	$6,718	$6,971	$13,689
32.	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$301,535	$303,152	
	Number of Firms Profitable		94	95	
	Number of Firms Loss		30	27	
	TOTAL NUMBER OF FIRMS		124	122	