STATEMENT OF FINANCIAL CONDITION
NYSE MEMBER FIRMS DEALING WITH PUBLIC
($ in Millions)

			2018		
			1st QTR	2nd QTR	3rd QTR
ASSETS		Item			
1.	Cash	750	$35,285	$33,058	$32,811
2.	Cash segregated in compliance with federal and other regulations	760	70,931	65,834	63,620
3.	Receivable from brokers or dealers and clearing organizations:				
	A. Failed to deliver:	770	26,126	28,531	31,884
	B. Securities borrowed:	780	933,380	934,675	921,124
	C. Omnibus accounts:	790	24,607	28,055	27,704
	D. Clearing Organizations:	800	72,881	65,219	63,633
	E. Other	810	52,990	40,545	41,527
4.	Receivables from customers:	820	249,363	240,722	240,781
5.	Receivables from non-customers:	830	31,379	33,512	33,397
6.	Securities purchased under agreements to resell	840	834,023	849,894	846,721
7.	Securities and spot commodities owned, at market value:	850	764,469	809,107	840,246
8.	Securities owned not readily marketable:	860	21,174	19,676	19,160
9.	Other investments not readily marketable:	870	1,238	1,244	1,206
10.	Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:	880	0	0	0
11.	Secured demand notes-market value of collateral:	890	470	467	467
12.	Memberships in exchanges:	900	201	195	192
13.	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	9,893	10,702	9,954
14.	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	5,260	5,383	5,535
15.	Other Assets:	930	168,795	171,712	173,216
16.	TOTAL ASSETS	940	$3,302,465	$3,338,531	$3,353,178
LIABILITIES					
17.	Bank loans payable:				
	A. Includable in "Formula for Reserve Requirements"	1460	$1,266	$1,493	$1,092
	B. Other	1470	56,474	55,913	49,547
18.	Securities sold under repurchase agreements.	1480	1,066,702	1,119,995	1,116,663
19.	Payable to brokers or dealers and clearing organizations:				
	A. Failed to receive:				
	1. Includable in "Formula for Reserve Requirements"	1490	10,057	11,800	8,936
	2. Other	1500	15,457	18,233	21,129
	B. Securities loaned:				
	1. Includable in "Formula for Reserve Requirements"	1510	71,884	81,978	69,259
	2. Other	1520	285,295	266,297	265,058
	C. Omnibus accounts:				
	1. Includable in "Formula for Reserve Requirements"	1530	13,308	14,720	16,542
	2. Other	1540	256	230	260
	D. Clearing organizations:				
	1. Includable in "Formula for Reserve Requirements"	1550	725	812	708
	2. Other	1560	3,956	5,118	6,051
	E. Other	1570	32,557	35,690	38,146

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			1st QTR	2nd QTR	3rd QTR
20.	Payable to customers:				
	A. Securities accounts	1580	576,468	583,390	584,011
	B. Commodities accounts	1590	114,012	110,478	110,501
21.	Payable to non customers:				
	A. Securities accounts	1600	$35,822	$29,925	$31,125
	B. Commodities accounts	1610	14,909	16,698	18,152
22.	Securities sold not yet purchased at market value	1620	296,432	291,540	295,313
23.	Accounts payable and accrued liabilities and expenses:				
	A. Drafts payable	1630	1,817	1,893	1,984
	B. Accounts payable	1640	40,915	36,416	32,538
	C. Income taxes payable	1650	2,159	2,774	3,338
	D. Deferred income taxes	1660	21	20	21
	E. Accrued expenses and other liabilities	1670	59,816	54,534	68,156
	F. Other	1680	9,184	9,330	10,111
	G. Obligation to return securities	1686	117,628	120,690	122,662
	H. SPE Liabilities	1687	696	511	347
24.	Notes and mortgages payable:				
	A. Unsecured	1690	99,793	95,735	117,855
	B. Secured	1700	73,321	69,166	55,866
25.	Liabilities subordinated to claims of general creditors:				
	A. Cash borrowings:	1710	114,571	124,548	124,908
	B. Securities borrowings, at market value:	1720	0	0	0
	C. Pursuant to secured demand not collateral agreements:	1730	470	468	468
	D. Exchange memberships contributed for use of company, at market value	1740	0	0	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	0	0	0
26.	TOTAL LIABILITIES	1760	$3,115,971	$3,160,395	$3,170,747
	OWNERSHIP EQUITY				
27.	Sole proprietorship	1770	$1,332	$1,598	$1,711
28.	Partnership - limited partners	1780	57,020	58,259	59,746
29.	Corporation:				
	A. Preferred stock	1791	1,284	1,284	1,284
	B. Common stock	1792	293	293	293
	C. Additional paid- in capital	1793	118,314	118,388	118,531
	D. Retained Earnings	1794	8,259	(1,678)	874
	E. Less capital stock in treasury	1796	(8)	(8)	(8)
30.	TOTAL OWNERSHIP EQUITY	1800	$186,494	$178,136	$182,431
31.	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	$3,302,465	$3,338,531	$3,353,178