NYSE Member Firms Report Second Quarter Results

SEPTEMBER 22, 2021

NEW YORK - New York Stock Exchange member firms that conduct business with the public reported a second-quarter 2021 after-tax profit of approximately $8.5 billion and revenues of approximately $55.7 billion, compared with approximately $13.9 billion after-tax profit on revenues of about $57.5 billion in the second-quarter of 2020.

Comparative financial results are reported in the table below:

NYSE MEMBER FIRMS DEALING WITH PUBLIC
($ in Millions)

	2nd QTR 2021	2nd QTR 2020	1st QTR 2021	YTD 2021	YTD 2020
Revenue	$55,741	$57,469	$62,187	$117,928	$117,079
Expense	$42,704	$40,654	$44,220	$86,924	$89,525
After Tax Profit Loss	$8,474	$13,861	$11,679	$20,153	$20,328
After Tax Annualized Return on Capital	9.5%	16.0%	13.3%	11.3%	10.7%
Assets	$4,055,901	$3,695,912	$4,070,529	$4,055,901	$3,695,912
Capital and subordinated liabilities	$357,124	$347,342	$352,367	$357,124	$347,342
Commission Revenues	$5,132	$5,528	$6,213	$11,345	$11,924
Firms	125	123	124	125	127
Profitable Firms	101	106	110	121	118
Aggregate PreTax Earnings of Profitable Firms	$13,106	$16,929	$18,063	$31,169	$28,313
Unprofitable Firms	24	17	14	4	9
Aggregate PreTax Loss of Unprofitable Firms	($69)	($114)	($96)	($165)	($759)

Note: Data is from NYSE member firms that conduct business with the public. Revenues include firms that trade primarily for their own account, but excludes designated market makers (formerly known as specialists). All firms are registered broker-dealers with the SEC. Revenues are predominantly derived from investment banking, trading, commissions, and interest.

Links
NYSE Member Firms Dealing with Public (Financial Summary)
Statement of Income (Loss) and Expense Unconsolidated
Statement of Financial Condition