

**STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED**  
**(\$ in Millions)**

**2022**

		item	2nd Qtr
<b>REVENUE</b>			
1	Commissions:		
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	\$2,105
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	563
	c. Commissions on listed option transactions	3938	583
	d. All other securities commissions	3939	1,839
2	Gains or losses on firm securities trading accounts	3950	2,747
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	(358)
3	Gains or losses on derivatives trading	3926	2,092
3	Gains or losses on firm securities investment accounts	3952	(208)
4	Profits or losses from underwriting and selling groups	3955	4,354
5	Margin interest	3960	2,668
6	Income from sale of investment company shares	3970	1,248
7	Fees for account supervision, investment advisory and administrative services	3975	19,217
8	Revenue from research services	3980	70
10	Gain or Loss on commodity	3990	314
9	Other revenue related to securities business	3985	11,079
11	Other revenue unrelated to securities business	3995	6,761
<b>EXPENSES</b>			
13	Registered representatives' compensation	4110	11,365
14	Clerical and administrative employees' expenses	4040	8,964
15	Salaries and other employment costs for general partners and voting stockholder officers	4120	563
16	Other employee compensation and benefits	4115	338
17	Commission paid to other brokers	4140	39
18	Floor brokerage paid to certain brokers	4055	456
19	Commissions and clearance paid to all other brokers	4145	1,217
20	Clearance paid to non-brokers	4135	1,090
21	Communications	4060	1,259
22	Occupancy and equipment costs	4080	1,325
23	Promotional costs	4150	378
24	Interest expense	4075	8,851
25	Losses in error account and bad debts	4170	48
26	Data processing costs (including service bureau service charges)	4186	1,105
27	Non-recurring charges	4190	2
28	Regulatory fees and expenses	4195	517
29	Other expenses	4100	12,142
<b>NET INCOME</b>			
32	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		\$364,240
	Number of Firms Profitable		84
	Number of Firms Loss		42
	TOTAL NUMBER OF FIRMS		126
	e. Total securities commissions	3940	5,093
	Total expenses	4200	49,663
	Total revenue	4030	55,439
	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	5,775