STATEMENT OF FINANCIAL CONDITION

($ in Millions)

2022

		item	Q4 2022
ASSETS			
1	Cash	750	$39,368
2	Cash segregated in compliance with federal and other regulations	760	81,327
3	Receivable from brokers or dealers and clearing organizations:		
	A. Failed to deliver:	770	45,900
	B. Securities borrowed:	780	1,046,715
	C. Omnibus accounts:	790	24,510
	D. Clearing Organizations:	800	176,070
	E. Other	810	19,377
4	Receivables from customers:	820	289,968
5	Receivables from non-customers:	830	21,360
6	Excess cash collateral pledged on derivative transactions	12017	2,436
7	Securities purchased under agreements to resell	840	1,155,062
8	Trade Date Receivable	802	14,397
8	Securities and spot commodities owned, at market value:	850	7,104
9	Total Net Securities, commodities and swaps positions	12024	975,894
8	Securities owned not readily marketable:	860	30
9	Other investments not readily marketable:	870	0
10	Securities borrowed under subordination agreements and partners' individual and capital securities acco	880	0
11	Secured demand notes-market value of collateral:	890	556
12	Memberships in exchanges:	900	181
13	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	21,488
14	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	14,283
15	Other Assets:	930	161,689
LIABILITIES			
17	Bank loans payable:		
	A. Includable in "Formula for Reserve Requirements"	1460	$2,412
	B. Other	1470	22,445
18	Securities sold under repurchase agreements.	1480	1,287,219
19	Payable to brokers or dealers and clearing organizations:		
	A. Failed to receive:		
	1. Includable in "Formula for Reserve Requirements"	1490	14,211
	2. Other	1500	33,457
	B. Securities loaned:		
	1. Includable in "Formula for Reserve Requirements"	1510	82,555
	2. Other	1520	361,650
	C. Omnibus accounts:		
	1. Includable in "Formula for Reserve Requirements"	1530	5,575
	2. Other	1540	366
	D. Clearing organizations:		
	1. Includable in "Formula for Reserve Requirements"	1550	636
	2. Other	1560	7,367
	E. Other	1570	13,473
20	Payable to customers:		
	A. Securities accounts	1580	657,708
	B. Commodities accounts	1590	233,649
21	Payable to non customers:		
	A. Securities accounts	1600	$45,372

	B. Commodities accounts	1610	33,140
22	Excess cash collateral recieved on derivative transactions	12027	7,953
22	Securities sold not yet purchased at market value	1620	6,099
23	Trade Date Payable	1562	41,320
24	Total Net securities, commodities, and swaps positions	12044	407,681
23	Accounts payable and accrued liabilities and expenses:	1685	1,016
	A. Drafts payable	1630	1,757
	B. Accounts payable	1640	18,286
	C. Income taxes payable	1650	3,494
	D. Deferred income taxes	1660	91
	E. Accrued expenses and other liabilities	1670	73,740
	F. Other	1680	26,107
	G. Obligation to return securities	1686	96,491
	H. SPE Liabilities	1687	435
24	Notes and mortgages payable:		
	A. Unsecured	1690	155,262
	B. Secured	1700	77,372
25	Liabilities subordinated to claims of general creditors:		
	A. Cash borrowings:	1710	142,544
	B. Securities borrowings, at market value:	1720	0
	C. Pursuant to secured demand not collateral agreements:	1730	556
	D. Exchange memberships contributed for use of company, at market value	1740	0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	1

OWNERSHIP EQUITY

27	Sole proprietorship	1770	$0
28	Partnership - limited partners	1780	81,211
29	Corporation:		
	A. Preferred stock	1791	7,142
	B. Common stock	1792	756
	C. Additional paid- in capital	1793	127,773
	D. Retained Earnings	1794	20,235
	E. Less capital stock in treasury	1796	(7)
	F. Accumulated other Comprehensive Income	1797	($314)

	TOTAL ASSETS	940	4,098,252
	TOTAL LIABILITIES	1760	3,861,456
	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	4,098,252
	TOTAL OWNERSHIP EQUITY	1800	236,796