STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED
($ in Millions)

2022

		item	4th QTR
REVENUE			
1	Commissions:		
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$1,924
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	656
	c. Commissions on listed option transactions	3938	569
	d. All other securities commissions	3939	1,667
2	Gains or losses on firm securities trading accounts	3950	6,481
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	-850
3	Gains or losses on derivatives trading	3926	708
3	Gains or losses on firm securities investment accounts	3952	181
4	Profits or losses from underwriting and selling groups	3955	3,680
5	Margin interest	3960	7,081
6	Income from sale of investment company shares	3970	1,124
7	Fees for account supervision, investment advisory and administrative services	3975	18,319
8	Revenue from research services	3980	89
10	Gain or Loss on commodity	3990	620
9	Other revenue related to securities business	3985	26,873
11	Other revenue unrelated to securities business	3995	14,015
EXPENSES			
13	Registered representatives' compensation	4110	11,204
14	Clerical and administrative employees' expenses	4040	9,631
15	Salaries and other employment costs for general partners and voting stockholder officers	4120	585
16	Other employee compensation and benefits	4115	357
17	Commission paid to other brokers	4140	30
18	Floor brokerage paid to certain brokers	4055	427
19	Commissions and clearance paid to all other brokers	4145	1,317
20	Clearance paid to non-brokers	4135	1,096
21	Communications	4060	1,351
22	Occupancy and equipment costs	4080	1,358
23	Promotional costs	4150	459
24	Interest expense	4075	36,429
25	Losses in error account and bad debts	4170	43
26	Data processing costs (including service bureau service charges)	4186	1,189
27	Non-recurring charges	4190	0
28	Regulatory fees and expenses	4195	586
29	Other expenses	4100	11,723
NET INCOME			
32	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$379,898
	Number of Firms Profitable		42
	Number of Firms Loss		84
	TOTAL NUMBER OF FIRMS		126
	e. Total securities commissions	3940	4,817
	Total expenses	4200	77,792
	Total revenue	4030	83,996
	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	6,203