

STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED
(\$ in Millions)

		item	1st QTR 2026
REVENUE			
1	Commissions:		
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	\$2,779
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	957
	c. Commissions on listed option transactions	3938	1,125
	d. All other securities commissions	3939	2,639
2	Gains or losses on firm securities trading accounts	3950	13,317
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	2,888
3	Gains or losses on derivatives trading	3926	3,920
3	Gains or losses on firm securities investment accounts	3952	(\$48)
4	Profits or losses from underwriting and selling groups	3955	10,464
5	Margin interest	3960	10,754
6	Income from sale of investment company shares	3970	1,337
7	Fees for account supervision, investment advisory and administrative services	3975	27,388
8	Revenue from research services	3980	82
10	Gain or Loss on commodity	3990	2,741
9	Other revenue related to securities business	3985	37,442
11	Other revenue unrelated to securities business	3995	22,545
EXPENSES			
13	Registered representatives' compensation	4110	18,447
14	Clerical and administrative employees' expenses	4040	11,694
15	Salaries and other employment costs for general partners and voting stockholder officers	4120	775
16	Other employee compensation and benefits	4115	1,231
17	Commission paid to other brokers	4140	206
18	Floor brokerage paid to certain brokers	4055	406
19	Commissions and clearance paid to all other brokers	4145	3,209
20	Clearance paid to non-brokers	4135	1,196
21	Communications	4060	1,730
22	Occupancy and equipment costs	4080	1,550
23	Promotional costs	4150	584
24	Interest expense	4075	55,326
25	Losses in error account and bad debts	4170	30
26	Data processing costs (including service bureau service charges)	4186	1,366
27	Non-recurring charges	4190	0
28	Regulatory fees and expenses	4195	421
29	Other expenses	4100	18,170
NET INCOME			
32	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		\$431,872
	Number of Firms Profitable		135
	Number of Firms Loss		31
	TOTAL NUMBER OF FIRMS		166
	e. Total securities commissions	3940	7,501
	Total expenses	4200	116,348
	Total revenue	4030	137,448
	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	21,100