STATEMENT OF FINANCIAL CONDITION

($ in Millions)

		item	Q3 2026
ASSETS			
1	Cash	750	$45,867
2	Cash segregated in compliance with federal and other regulations	760	70,288
3	Receivable from brokers or dealers and clearing organizations:		
	A. Failed to deliver:	770	$50,454
	B. Securities borrowed:	780	$1,507,680
	C. Omnibus accounts:	790	$22,109
	D. Clearing Organizations:	800	$194,885
	E. Other	810	$30,124
4	Receivables from customers:	820	$557,535
5	Receivables from non-customers:	830	$56,493
6	Excess cash collateral pledged on derivative transactions	12017	$7,699
7	Securities purchased under agreements to resell	840	$1,540,081
8	Trade Date Receivable	802	$23,816
8	Securities and spot commodities owned, at market value:	850	$19,306
9	Total Net Securities, commodities and swaps positions	12024	$2,015,303
8	Securities owned not readily marketable:	860	$89
9	Other investments not readily marketable:	870	$0
10	Securities borrowed under subordination agreements and partners' individual and capital securities acco	880	$0
11	Secured demand notes-market value of collateral:	890	$23
12	Memberships in exchanges:	900	$285
13	Investment in and receivables from affiliates, subsidiaries and associated partnerships(910)	910	$10,464
14	Property, furniture, equipment, leasehold improvements and rights under lease agreements:	920	$16,134
15	Other Assets:	930	$327,532
LIABILITIES			
17	Bank loans payable:		
	A. Includable in "Formula for Reserve Requirements"	1460	$3,403
	B. Other	1470	$45,336
18	Securities sold under repurchase agreements.	1480	$2,442,365
19	Payable to brokers or dealers and clearing organizations:		
	A. Failed to receive:		
	1. Includable in "Formula for Reserve Requirements"	1490	$14,006
	2. Other	1500	$34,635
	B. Securities loaned:		
	1. Includable in "Formula for Reserve Requirements"	1510	$191,452
	2. Other	1520	$407,557
	C. Omnibus accounts:		
	1. Includable in "Formula for Reserve Requirements"	1530	$73
	2. Other	1540	$386
	D. Clearing organizations:		
	1. Includable in "Formula for Reserve Requirements"	1550	$2,589
	2. Other	1560	$12,588
	E. Other	1570	$13,252
20	Payable to customers:		
	A. Securities accounts	1580	$807,735
	B. Commodities accounts	1590	$273,291
21	Payable to non customers:		
	A. Securities accounts	1600	$63,330
	B. Commodities accounts	1610	$45,639

22	Excess cash collateral recieved on derivative transactions	12027	$19,151
22	Securities sold not yet purchased at market value	1620	$14,211
23	Trade Date Payable	1562	$29,967
24	Total Net securities, commodities, and swaps positions	12044	$815,984
23	Accounts payable and accrued liabilities and expenses:	1685	$2,496
	A. Drafts payable	1630	$1,482
	B. Accounts payable	1640	$31,079
	C. Income taxes payable	1650	$6,233
	D. Deferred income taxes	1660	$204
	E. Accrued expenses and other liabilities	1670	$104,872
	F. Other	1680	$49,946
	G. Obligation to return securities	1686	$218,648
	H. SPE Liabilities	1687	$1,174
24	Notes and mortgages payable:		
	A. Unsecured	1690	$269,942
	B. Secured	1700	$102,886
25	Liabilities subordinated to claims of general creditors:		
	A. Cash borrowings:	1710	$142,813
	B. Securities borrowings, at market value:	1720	$0
	C. Pursuant to secured demand not collateral agreements:	1730	$23
	D. Exchange memberships contributed for use of company, at market value	1740	$0
	E. Accounts and other borrowings not qualified for net capital purposes	1750	$0
OWNERSHIP EQUITY			
27	Sole proprietorship	1770	$16
28	Partnership - limited partners	1780	$124,539
29	Corporation:		
	A. Preferred stock	1791	$7,205
	B. Common stock	1792	$1,016
	C. Additional paid- in capital	1793	$119,640
	D. Retained Earnings	1794	$75,192
	E. Less capital stock in treasury	1796	($4)
	F. Accumulated other Comprehensive Income	1797	($183)

	TOTAL ASSETS	940	6,496,176
	TOTAL LIABILITIES	1760	6,168,753
	TOTAL LIABILITIES AND OWNERSHIP EQUITY	1810	6,496,176
	TOTAL OWNERSHIP EQUITY	1800	327,423