STATEMENT OF INCOME (LOSS) AND EXPENSE UNCONSOLIDATED
($ in Millions)

		item	3rd QTR 2026
REVENUE			
1	Commissions:		
	a. Commissions on transactions in listed equity securities executed on an exchange	3935	$2,762
	b. Commissions on transactions in exchange listed equity securities executed over-the-counter	3937	881
	c. Commissions on listed option transactions	3938	1,123
	d. All other securities commissions	3939	2,752
2	Gains or losses on firm securities trading accounts	3950	5,293
	a. Includes gains or (losses) from market making in options on a national securities exchange	3945	(-1585)
3	Gains or losses on derivatives trading	3926	$8,003
3	Gains or losses on firm securities investment accounts	3952	0
4	Profits or losses from underwriting and selling groups	3955	9,877
5	Margin interest	3960	11,122
6	Income from sale of investment company shares	3970	1,324
7	Fees for account supervision, investment advisory and administrative services	3975	29,412
8	Revenue from research services	3980	78
10	Gain or Loss on commodity	3990	$2,016
9	Other revenue related to securities business	3985	41,314
11	Other revenue unrelated to securities business	3995	24,119
EXPENSES			
13	Registered representatives' compensation	4110	19,031
14	Clerical and administrative employees' expenses	4040	11,253
15	Salaries and other employment costs for general partners and voting stockholder officers	4120	860
16	Other employee compensation and benefits	4115	834
17	Commission paid to other brokers	4140	110
18	Floor brokerage paid to certain brokers	4055	430
19	Commissions and clearance paid to all other brokers	4145	3,342
20	Clearance paid to non-brokers	4135	1,017
21	Communications	4060	1,797
22	Occupancy and equipment costs	4080	1,627
23	Promotional costs	4150	573
24	Interest expense	4075	58,973
25	Losses in error account and bad debts	4170	65
26	Data processing costs (including service bureau service charges)	4186	1,579
27	Non-recurring charges	4190	0
28	Regulatory fees and expenses	4195	1,148
29	Other expenses	4100	19,149
NET INCOME			
32	Capital and subordinated liabilities(1800,1710,1720,1730,1740,1750)		$470,261
	Number of Firms Profitable		146
	Number of Firms Loss		26
	TOTAL NUMBER OF FIRMS		

	e. Total securities commissions	3940	7,520
	Total expenses	4200	121,796
	Total revenue	4030	140,026
	Unconsolidated net income (loss) before Federal income taxes, extraordinary gains, and changes in accounting principles	4210	18,230