



NEWS RELEASE

Intercontinental Exchange Appoints Jaime L. Klima as Chief Regulatory Officer of NYSE Group

12/18/2020

ATLANTA & NEW YORK--(BUSINESS WIRE)-- Intercontinental Exchange, Inc. (NYSE: ICE), a leading operator of global exchanges and clearing houses and provider of mortgage technology, data and listings services, announced today that Jaime L. Klima has been named Chief Regulatory Officer of NYSE Group, where she will oversee the work of its independent regulatory unit, NYSE Regulation.

Klima will join the NYSE from the Commodity Futures Trading Commission (CFTC), where she is Chief of Staff and Chief Operating Officer. In this executive position, she manages the agency's daily operations, overseeing a staff of approximately 1,000, and serves as the CFTC Chairman's lead advisor. Earlier in her career, Klima had senior roles at the Securities and Exchange Commission, including Chief Counsel to the Chairman. She was also Counsel at Wilmer Cutler Pickering Hale and Dorr LLP.

"Jaime's extensive background at our nation's leading markets regulators makes her an ideal choice to lead NYSE Regulation, which works to ensure the fair and orderly operation of our NYSE markets. The importance of our capital markets has never been more evident than during this past year, and we look forward to Jaime's leadership, skills and experience in this critical role," said Stacey Cunningham, President, NYSE Group.

In her work overseeing NYSE Regulation, Klima will lead a team of over 100 attorneys and professionals who monitor and enforce issuers' compliance with listing standards, members' compliance with relevant exchange rules and federal securities laws, and exchanges' compliance with their self-regulatory organization obligations.

Klima holds a J.D. and Master of Public Policy from Duke University and a B.S. from the University of Virginia.

Klima's appointment will take effect in January 2021.

For more information on the New York Stock Exchange, [click here](#).

About NYSE Group

NYSE Group is a subsidiary of Intercontinental Exchange (NYSE: ICE), a leading operator of global exchanges and clearing houses, and a provider of data and listings services. NYSE Group's equity exchanges -- the New York Stock Exchange, NYSE American, NYSE Arca, NYSE Chicago and NYSE National -- trade more U.S. equity volume than any other exchange group. The NYSE is the premier global venue for capital raising. NYSE Arca Options and NYSE Amex Options are leading equity options exchanges. To learn more, visit www.nyse.com.

About Intercontinental Exchange

Intercontinental Exchange (NYSE: ICE) is a Fortune 500 company and provider of marketplace infrastructure, data services and technology solutions to a broad range of customers including financial institutions, corporations and government entities. We operate regulated marketplaces, including the New York Stock Exchange, for the listing, trading and clearing of a broad array of derivatives contracts and financial securities across major asset classes. Our comprehensive data services offering supports the trading, investment, risk management and connectivity needs of customers around the world and across asset classes. As a leading technology provider for the U.S. residential mortgage industry, **ICE Mortgage Technology** provides the technology and infrastructure to transform and digitize U.S. residential mortgages, from application and loan origination through to final settlement.

Trademarks of ICE and/or its affiliates include Intercontinental Exchange, ICE, ICE block design, NYSE and New York Stock Exchange. Information regarding additional trademarks and intellectual property rights of Intercontinental Exchange, Inc. and/or its affiliates is located at <https://www.intercontinentalexchange.com/terms-of-use>. Key Information Documents for certain products covered by the EU Packaged Retail and Insurance-based Investment Products Regulation can be accessed on the relevant exchange website under the heading "Key Information Documents (KIDS)."

Safe Harbor Statement under the Private Securities Litigation Reform Act of 1995 -- Statements in this press release regarding ICE's business that are not historical facts are "forward-looking statements" that involve risks and uncertainties. For a discussion of additional risks and uncertainties, which could cause actual results to differ from those contained in the forward-looking statements, see ICE's Securities and Exchange Commission (SEC) filings, including, but not limited to, the risk factors in ICE's Annual Report on Form 10-K for the year ended December 31, 2019, as filed with the SEC on February 6, 2020.

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