NYSE REGULATION TO PERFORM MARKET SURVEILLANCE, INVESTIGATION AND ENFORCEMENT PROGRAM FOR NYSE GROUP EXCHANGES

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NEW YORK--(BUSINESS WIRE)-- NYSE Group today announced NYSE Regulation will directly perform the market surveillance, investigation and enforcement functions for NYSE Group's three equities exchanges and two options exchanges upon the expiration of the contract with the Financial Industry Regulation Authority (FINRA) on December 31, 2015. FINRA will retain the cross-market surveillance and investigation functions and will continue to conduct the registration, testing, and examinations of broker-dealer members of NYSE Group's exchanges.

NYSE Regulation performs or oversees FINRA's performance of various regulatory duties on behalf of the New York Stock Exchange, NYSE Arca and NYSE MKT, including administering surveillance, enforcement, and disciplinary programs to ensure member firms' compliance with exchange rules and federal securities laws, as well as monitoring and enforcing compliance by listed companies with listing standards. It is a wholly-owned, not-for-profit subsidiary of New York Stock Exchange LLC.

"NYSE Group supports the independent decision by NYSE Regulation's board that NYSE Regulation will directly perform the surveillance, investigation and enforcement functions for NYSE Group's exchanges as we believe the effectiveness of these functions is integral to the operation of our exchanges and to market integrity, fairness and investor confidence," said NYSE Group President Thomas W. Farley.

"NYSE Regulation is expanding its market surveillance, investigation and enforcement capabilities to oversee and enforce a robust regulatory program for NYSE Group's exchanges. We believe our expertise and proximity to the markets being regulated will enhance effective surveillance and rule enforcement," said NYSE Regulation CEO Mary Brienza.

As an important step in expanding the market surveillance and enforcement program for NYSE Group's exchanges, NYSE Regulation has selected **Cinnober** as a technology partner for the development and implementation of the enhanced surveillance system. Cinnober is a world-leading supplier of financial technology to trading and clearing venues, including exchanges, clearinghouses, banks and brokers.

NYSE Regulation has also appointed Adam J. Wasserman as NYSE Regulation Head of Enforcement. Mr. Wasserman was previously a partner in the New York office of the law firm Dechert LLP, where he was a senior member of its White Collar and Securities Litigation Group.

NYSE Regulation Board of Directors

Co-Chair - James K. Rutledge, Founder and former Managing Partner, Rutledge Securities Group

Co-Chair - Richard Moore, CEO and President, First Bancorp and former State Treasurer, North Carolina.

Fred W. Hatfield, Founder, Hatfield Advisory Services and Chairman of the Board, ICE Futures U.S., Inc.

Mary Brienza, CEO, NYSE Regulation, Inc.

About NYSE Group

NYSE Group is a wholly-owned subsidiary of Intercontinental Exchange (NYSE: ICE), operator of the leading global network of exchanges and clearing houses. NYSE Group operates multi-asset exchanges, and a range of related data products and technology services. The company's equity exchanges - the New York Stock Exchange, NYSE MKT and NYSE Arca - trade more U.S. equity volume than any other exchange group. NYSE is the global leader in capital raising for listed companies, including the majority of technology IPOs globally in 2013. The company's equity options markets, NYSE Arca Options and NYSE Amex Options offer complementary market models. NYSE Group also provides comprehensive global connectivity services and a range of market data products to support efficient, transparent markets.

About Intercontinental Exchange

Intercontinental Exchange (NYSE: ICE) is the leading network of regulated exchanges and clearing houses for financial and commodity markets. ICE delivers transparent, reliable and accessible data, technology and risk management services to markets around the world through its portfolio of exchanges, including the New York Stock Exchange, ICE Futures and Liffe.

Safe Harbor Statement under the Private Securities Litigation Reform Act of 1995 - Statements in this press release regarding ICE's business that are not historical facts are "forward-looking statements" that involve risks and uncertainties. For a discussion of additional risks and uncertainties, which could cause actual results to differ from those contained in the forward-looking statements, see ICE's Securities and Exchange Commission (SEC) filings, including, but not limited to, the risk factors in ICE's Annual Report on Form 10-K for the year ended December 31, 2013, as filed with the SEC on February 14, 2014.

Source: Intercontinental Exchange

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