

TD Ameritrade Holding Corporation

24 Month History	FY19	FY19	FY19	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY17	FY17	FY17	FY17	FY17	FY17	FY17	FY17	FY17
	Dec	Nov	Oct	Sep	Aug	Jul	Jun	May	Apr	Mar	Feb	Jan	Dec	Nov	Oct	Sep	Aug	Jul	Jun	May	Apr	Mar	Feb	Jan
Dow Jones Industrial Average ⁽¹⁾	23,327	25,538	25,116	26,458	25,965	25,415	24,271	24,416	24,163	24,103	25,029	26,149	24,719	24,272	23,377	22,405	21,948	21,891	21,350	21,009	20,941	20,663	20,812	19,864
NASDAQ Composite ⁽¹⁾	6,635	7,331	7,306	8,046	8,110	7,672	7,510	7,442	7,066	7,063	7,273	7,411	6,903	6,874	6,728	6,496	6,429	6,348	6,140	6,199	6,048	5,912	5,825	5,615
S&P 500 ⁽¹⁾	2,507	2,760	2,712	2,914	2,902	2,816	2,718	2,705	2,648	2,641	2,714	2,824	2,674	2,648	2,575	2,519	2,472	2,470	2,423	2,412	2,384	2,363	2,364	2,279
Trading Days	18.5	20.5	23.0	19.0	23.0	20.5	21.0	22.0	21.0	21.0	19.0	21.0	20.0	20.5	22.0	20.0	23.0	19.5	22.0	22.0	19.0	23.0	19.0	20.0
Avg. Trades / Day (K)	966	844	972	840	780	770	816	761	775	845	1,011	980	744	759	680	572	504	514	514	514	502	500	534	521
Client Assets (\$B)	\$1,161.6	\$1,237.6	\$1,227.9	\$1,297.5	\$1,292.0	\$1,257.4	\$1,229.6	\$1,224.9	\$1,193.6	\$1,185.7	\$1,195.8	\$1,224.9	\$1,178.8	\$1,162.5	\$1,139.0	\$1,118.5	\$909.4	\$900.9	\$882.4	\$872.3	\$858.0	\$846.7	\$837.8	\$815.0
Avg. BDA Balances (\$B) ⁽²⁾	\$116.6	\$113.5	\$112.9	\$112.3	\$112.8	\$114.1	\$115.1	\$116.2	\$117.8	\$118.0	\$117.9	\$118.9	\$119.2	\$118.6	\$119.5	\$103.7	\$91.0	\$90.7	\$91.2	\$91.9	\$93.8	\$94.9	\$94.7	\$95.7
Avg. Margin Balances (\$B)	\$21.2	\$22.2	\$23.0	\$22.3	\$21.9	\$21.7	\$20.9	\$20.4	\$20.4	\$19.8	\$19.4	\$18.2	\$18.0	\$17.6	\$17.1	\$14.9	\$13.3	\$13.2	\$13.0	\$12.6	\$12.2	\$11.9	\$11.9	\$11.8
Avg. Spread-Based Balances (\$B)	\$146.4	\$143.8	\$142.9	\$141.6	\$142.3	\$144.0	\$145.6	\$146.0	\$148.2	\$149.0	\$150.2	\$151.5	\$151.2	\$150.1	\$150.9	\$132.0	\$116.8	\$116.5	\$117.0	\$117.6	\$118.8	\$119.8	\$119.3	\$120.1
Avg. Fee-Based Balances (\$B) ⁽³⁾	\$258.3	\$264.6	\$268.1	\$276.1	\$272.7	\$266.2	\$262.1	\$256.0	\$252.3	\$252.3	\$251.4	\$251.1	\$238.9	\$233.4	\$217.7	\$206.5	\$197.6	\$195.6	\$193.0	\$190.0	\$186.2	\$183.8	\$181.2	\$176.4

8 Quarter / 2 Year History	FY19	FY18	FY18	FY18	FY18	FY17	FY17	FY17	Full Year	
	Dec Q	Sep Q	Jun Q	Mar Q	Dec Q	Sep Q	Jun Q	Mar Q	FY18	FY17
Dow Jones Industrial Average ⁽¹⁾	23,327	26,458	24,271	24,103	24,719	22,405	21,350	20,663	26,458	22,405
NASDAQ Composite ⁽¹⁾	6,635	8,046	7,510	7,063	6,903	6,496	6,140	5,912	8,046	6,496
S&P 500 ⁽¹⁾	2,507	2,914	2,718	2,641	2,674	2,519	2,423	2,363	2,914	2,519
Trading Days	62.0	62.5	64.0	61.0	62.5	62.5	63.0	62.0	250.0	250.0
Avg. Trades / Day (K)	928	795	784	943	726	529	510	517	811	511
Client Assets (\$B)	\$1,161.6	\$1,297.5	\$1,229.6	\$1,185.7	\$1,178.8	\$1,118.5	\$882.4	\$846.7	\$1,297.5	\$1,118.5
Net New Assets (\$B)	\$31.8	\$23.9	\$19.8	\$22.2	\$26.5	\$19.9	\$22.0	\$19.5	\$92.3	\$80.1
Avg. BDA Balances (\$B) ⁽²⁾	\$114.3	\$113.1	\$116.3	\$118.3	\$119.1	\$95.0	\$92.3	\$95.1	\$116.7	\$93.9
Avg. Margin Balances (\$B)	\$22.1	\$22.0	\$20.6	\$19.1	\$17.6	\$13.8	\$12.6	\$11.9	\$19.8	\$12.5
Avg. Spread-Based Balances (\$B)	\$144.4	\$142.7	\$146.6	\$150.3	\$150.7	\$121.7	\$117.8	\$119.7	\$147.5	\$119.2
Avg. Fee-Based Balances (\$B) ⁽³⁾	\$263.6	\$271.6	\$256.8	\$251.7	\$230.0	\$199.8	\$189.7	\$180.4	\$252.5	\$185.1
Funded Accounts (K)	11,630	11,514	11,399	11,266	11,129	11,004	7,279	7,189	11,514	11,004

(1) - Market index values are obtained from Yahoo! Finance and reflect the closing value of the last trade day of the respective period.

(2) - Client cash is held in FDIC-insured deposit accounts at third party banks.

(3) - Avg. Fee-Based Balances include ETF Market Center balances beginning November 1, 2017.

-Certain totals may not foot due to rounding.

-See Glossary of Terms on the Company's website at www.amtd.com for definitions of the above metrics.

-Company metrics include the Scottrade acquisition beginning September 18, 2017.