

TD Ameritrade Holding Corporation

24 Month History	FY19	FY19	FY19	FY19	FY19	FY19	FY19	FY19	FY19	FY19	FY19	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY18	FY17
	Aug	Jul	Jun	May	Apr	Mar	Feb	Jan	Dec	Nov	Oct	Sep	Aug	Jul	Jun	May	Apr	Mar	Feb	Jan	Dec	Nov	Oct	Sep
Dow Jones Industrial Average ⁽¹⁾	26,403	26,864	26,600	24,815	26,593	25,929	25,916	25,000	23,327	25,538	25,116	26,458	25,965	25,415	24,271	24,416	24,163	24,103	25,029	26,149	24,719	24,272	23,377	22,405
NASDAQ Composite ⁽¹⁾	7,963	8,175	8,006	7,453	8,095	7,729	7,533	7,282	6,635	7,331	7,306	8,046	8,110	7,672	7,510	7,442	7,066	7,063	7,273	7,411	6,903	6,874	6,728	6,496
S&P 500 ⁽¹⁾	2,926	2,980	2,942	2,752	2,946	2,834	2,784	2,704	2,507	2,760	2,712	2,914	2,902	2,816	2,718	2,705	2,648	2,641	2,714	2,824	2,674	2,648	2,575	2,519
Trading Days	22.0	21.5	20.0	22.0	21.0	21.0	19.0	21.0	18.5	20.5	23.0	19.0	23.0	20.5	21.0	22.0	21.0	21.0	19.0	21.0	20.0	20.5	22.0	20.0
Avg. Trades / Day (K)	898	800	791	863	817	863	845	871	966	844	972	840	780	770	816	761	775	845	1,011	980	744	759	680	572
Client Assets (\$B)	\$1,307.7	\$1,321.4	\$1,306.6	\$1,274.8	\$1,331.9	\$1,297.1	\$1,276.0	\$1,242.2	\$1,161.6	\$1,237.6	\$1,227.9	\$1,297.5	\$1,292.0	\$1,257.4	\$1,229.6	\$1,224.9	\$1,193.6	\$1,185.7	\$1,195.8	\$1,224.9	\$1,178.8	\$1,162.5	\$1,139.0	\$1,118.5
Avg. BDA Balances (\$B) ⁽²⁾	\$111.4	\$111.2	\$109.9	\$109.7	\$111.4	\$112.3	\$113.6	\$118.2	\$116.6	\$113.5	\$112.9	\$112.3	\$112.8	\$114.1	\$115.1	\$116.2	\$117.8	\$118.0	\$117.9	\$118.9	\$119.2	\$118.6	\$119.5	\$103.7
Avg. Margin Balances (\$B)	\$20.5	\$20.4	\$20.6	\$20.9	\$20.4	\$20.0	\$19.5	\$18.9	\$21.2	\$22.2	\$23.0	\$22.3	\$21.9	\$21.7	\$20.9	\$20.4	\$20.4	\$19.8	\$19.4	\$18.2	\$18.0	\$17.6	\$17.1	\$14.9
Avg. Spread-Based Balances (\$B)	\$146.7	\$145.6	\$143.1	\$142.0	\$143.7	\$143.8	\$145.0	\$148.5	\$146.4	\$143.8	\$142.9	\$141.6	\$142.3	\$144.0	\$145.6	\$146.0	\$148.2	\$149.0	\$150.2	\$151.5	\$151.2	\$150.1	\$150.9	\$132.0
Avg. Fee-Based Balances (\$B) ^{(3) (5)}	\$264.6	\$265.5	\$291.8	\$288.4	\$290.2	\$283.0	\$276.8	\$263.1	\$258.3	\$264.6	\$268.1	\$276.1	\$272.7	\$266.2	\$262.1	\$256.0	\$252.3	\$252.3	\$251.4	\$251.1	\$238.9	\$233.4	\$217.7	\$206.5

8 Quarter / 2 Year History	FY19	FY19	FY19	FY18	FY18	FY18	FY18	FY17	Full Year	
	Jun Q	Mar Q	Dec Q	Sep Q	Jun Q	Mar Q	Dec Q	Sep Q	FY18	FY17
Dow Jones Industrial Average ⁽¹⁾	26,600	25,929	23,327	26,458	24,271	24,103	24,719	22,405	26,458	22,405
NASDAQ Composite ⁽¹⁾	8,006	7,729	6,635	8,046	7,510	7,063	6,903	6,496	8,046	6,496
S&P 500 ⁽¹⁾	2,942	2,834	2,507	2,914	2,718	2,641	2,674	2,519	2,914	2,519
Trading Days	63.0	61.0	62.0	62.5	64.0	61.0	62.5	62.5	250.0	250.0
Avg. Trades / Day (K)	825	860	928	795	784	943	726	529	811	511
Client Assets (\$B)	\$1,306.6	\$1,297.1	\$1,161.6	\$1,297.5	\$1,229.6	\$1,185.7	\$1,178.8	\$1,118.5	\$1,297.5	\$1,118.5
Ending Client Cash (\$B) ⁽⁴⁾	\$147.2	\$146.6	\$156.5	\$141.4	\$141.4	\$143.8	\$147.0	\$146.5	\$141.4	\$146.5
Net New Assets (\$B)	\$19.5	\$19.6	\$31.8	\$23.9	\$19.8	\$22.2	\$26.5	\$19.9	\$92.3	\$80.1
Avg. BDA Balances (\$B) ⁽²⁾	\$110.3	\$114.7	\$114.3	\$113.1	\$116.3	\$118.3	\$119.1	\$95.0	\$116.7	\$93.9
Avg. Margin Balances (\$B)	\$20.6	\$19.4	\$22.1	\$22.0	\$20.6	\$19.1	\$17.6	\$13.8	\$19.8	\$12.5
Avg. Spread-Based Balances (\$B)	\$142.9	\$145.8	\$144.4	\$142.7	\$146.6	\$150.3	\$150.7	\$121.7	\$147.5	\$119.2
Avg. Fee-Based Balances (\$B) ^{(3) (5)}	\$290.6	\$273.7	\$263.6	\$271.6	\$256.8	\$251.7	\$230.0	\$199.8	\$252.5	\$185.1
Funded Accounts (K)	11,876	11,763	11,630	11,514	11,399	11,266	11,129	11,004	11,514	11,004

(1) - Market index values are obtained from Yahoo! Finance and reflect the closing value of the last trade day of the respective period.

(2) - Client cash is held in FDIC-insured deposit accounts at third party banks.

(3) - Avg. Fee-Based Balances include ETF Market Center balances beginning November 1, 2017.

(4) - Includes BDA balances, client credit balances and money market funds (both sweeps and direct purchases)

(5) - Avg. Fee-Based Balances include the sale of approximately \$35B of Trust assets in June of 2019.

-Certain totals may not foot due to rounding.

-See Glossary of Terms on the Company's website at www.amtd.com for definitions of the above metrics.

-Company metrics include the Scottrade acquisition beginning September 18, 2017.