

AMTD

TD Ameritrade Holding Corporation

24 Month History	FY20	FY20	FY20	FY20	FY20	FY20	FY20	FY20	FY20	FY20	FY20	FY19	FY19	FY19	FY19	FY19	FY19	FY19	FY19	FY19	FY19	FY19	FY19	
	Aug	Jul	Jun	May	Apr	Mar	Feb	Jan	Dec	Nov	Oct	Sep	Aug	Jul	Jun	May	Apr	Mar	Feb	Jan	Dec	Nov	Oct	Sep
Dow Jones Industrial Average ⁽¹⁾	28,430	26,428	25,813	25,383	24,346	21,917	25,409	28,256	28,538	28,051	27,046	26,917	26,403	26,864	26,600	24,815	26,593	25,929	25,916	25,000	23,327	25,538	25,116	26,458
NASDAQ Composite ⁽¹⁾	11,775	10,745	10,059	9,490	8,890	7,700	8,567	9,151	8,973	8,665	8,292	7,999	7,963	8,175	8,006	7,453	8,095	7,729	7,533	7,282	6,635	7,331	7,306	8,046
S&P 500 ⁽¹⁾	3,500	3,271	3,100	3,044	2,912	2,585	2,954	3,226	3,231	3,141	3,038	2,977	2,926	2,980	2,942	2,752	2,946	2,834	2,784	2,704	2,507	2,760	2,712	2,914
Trading Days	21.0	22.0	22.0	20.0	21.0	22.0	19.0	21.0	20.5	19.5	23.0	20.0	22.0	21.5	20.0	22.0	21.0	19.0	21.0	18.5	20.5	23.0	19.0	
Avg. Trades / Day (K)	3,675	3,753	3,839	3,275	3,051	2,896	1,871	1,478	1,128	1,078	897	810	898	800	791	863	817	863	845	871	966	844	972	840
Client Assets (\$B)	\$1,629.0	\$1,529.9	\$1,461.0	\$1,412.5	\$1,353.2	\$1,231.8	\$1,361.6	\$1,435.1	\$1,430.2	\$1,392.1	\$1,355.3	\$1,327.7	\$1,307.7	\$1,321.4	\$1,306.6	\$1,274.8	\$1,331.9	\$1,297.1	\$1,276.0	\$1,242.2	\$1,161.6	\$1,237.6	\$1,227.9	\$1,297.5
Avg. BDA Balances (\$B) ⁽²⁾	\$153.4	\$153.4	\$152.3	\$152.0	\$153.0	\$139.4	\$119.7	\$119.4	\$117.6	\$115.6	\$114.4	\$113.0	\$110.9	\$110.6	\$109.9	\$109.7	\$111.4	\$112.3	\$113.6	\$118.2	\$116.6	\$113.5	\$112.9	\$112.3
Avg. Margin Balances (\$B)	\$25.2	\$22.3	\$20.2	\$18.4	\$16.4	\$18.4	\$21.9	\$21.0	\$20.6	\$20.3	\$20.2	\$20.2	\$20.5	\$20.4	\$20.6	\$20.9	\$20.4	\$20.0	\$19.5	\$18.9	\$21.2	\$22.2	\$23.0	\$22.3
Avg. Spread-Based Balances (\$B)	\$201.8	\$201.3	\$199.5	\$197.5	\$196.7	\$178.6	\$155.2	\$155.0	\$153.6	\$151.4	\$150.3	\$149.2	\$146.1	\$145.1	\$143.1	\$142.0	\$143.7	\$143.8	\$145.0	\$148.5	\$146.4	\$143.8	\$142.9	\$141.6
Avg. Fee-Based Balances (\$B) ⁽³⁾	\$181.4	\$175.3	\$171.9	\$164.5	\$158.0	\$159.6	\$184.8	\$186.4	\$183.4	\$180.0	\$175.2	\$270.6	\$264.6	\$265.5	\$291.8	\$288.4	\$290.2	\$283.0	\$276.8	\$263.1	\$258.3	\$264.6	\$268.1	\$276.1

8 Quarter / 2 Year History	FY20	FY20	FY20	FY19	FY19	FY19	FY19	FY18	Full Year	
	Jun Q	Mar Q	Dec Q	Sep Q	Jun Q	Mar Q	Dec Q	Sep Q	FY19	FY18
Dow Jones Industrial Average ⁽¹⁾	25,813	21,917	28,538	26,917	26,600	25,929	23,327	26,458	26,917	26,458
NASDAQ Composite ⁽¹⁾	10,059	7,700	8,973	7,999	8,006	7,729	6,635	8,046	7,999	8,046
S&P 500 ⁽¹⁾	3,100	2,585	3,231	2,977	2,942	2,834	2,507	2,914	2,977	2,914
Trading Days	63.0	62.0	63.0	63.5	63.0	61.0	62.0	62.5	249.5	250.0
Avg. Trades / Day (K)	3,396	2,102	1,028	837	825	860	928	795	862	811
Client Assets (\$B)	\$1,461.0	\$1,231.8	\$1,430.2	\$1,327.7	\$1,306.6	\$1,297.1	\$1,161.6	\$1,297.5	\$1,327.7	\$1,297.5
Ending Client Cash (\$B) ⁽⁴⁾	\$209.3	\$209.5	\$165.1	\$155.6	\$147.2	\$146.6	\$156.5	\$141.4	\$155.6	\$141.4
Net New Assets (\$B)	\$32.8	\$45.4	\$28.7	\$22.0	\$19.5	\$19.6	\$31.8	\$23.9	\$93.1	\$92.3
Avg. BDA Balances (\$B) ⁽²⁾	\$152.5	\$126.3	\$115.9	\$111.5	\$110.3	\$114.7	\$114.3	\$113.1	\$112.7	\$116.7
Avg. Margin Balances (\$B)	\$18.3	\$20.4	\$20.4	\$20.4	\$20.6	\$19.4	\$22.1	\$22.0	\$20.7	\$19.8
Avg. Spread-Based Balances (\$B)	\$197.9	\$163.1	\$151.8	\$146.8	\$142.9	\$145.8	\$144.4	\$142.7	\$145.0	\$147.5
Avg. Fee-Based Balances (\$B) ⁽³⁾	\$164.8	\$176.8	\$179.5	\$267.1	\$290.6	\$273.7	\$263.6	\$271.6	\$273.7	\$252.5
Funded Accounts (K)	13,292	12,671	12,109	11,971	11,876	11,763	11,630	11,514	11,971	11,514

(1) - Market index values are obtained from Yahoo! Finance and reflect the closing value of the last trade day of the respective period.

(2) - Client cash is held in FDIC-insured deposit accounts at third party banks.

(3) - Avg. Fee-Based Balances reflect the following material changes:

- As of June 2019, a reduction of approximately \$35B due to the sale of Trust assets.

- As of October 2019, Institutional Asset Based Pricing (ABP) program excludes equity and ETF assets of approximately \$35B due to the move to \$0 equity commissions.

- As of October 2019, the ETF Market Center program with approximately \$55B in balances was discontinued due to the change to \$0 equity commissions.

(4) - Includes BDA balances, client credit balances and money market funds (both sweeps and direct purchases)

-Certain totals may not foot due to rounding.

-See Glossary of Terms on the Company's website at www.amtd.com for definitions of the above metrics.