

# AMTD

## TD Ameritrade Holding Corporation

24 Month History	FY19 Sep	FY19 Aug	FY19 Jul	FY19 Jun	FY19 May	FY19 Apr	FY19 Mar	FY19 Feb	FY19 Jan	FY19 Dec	FY19 Nov	FY19 Oct	FY18 Sep	FY18 Aug	FY18 Jul	FY18 Jun	FY18 May	FY18 Apr	FY18 Mar	FY18 Feb	FY18 Jan	FY18 Dec	FY18 Nov	FY18 Oct
Dow Jones Industrial Average <sup>(1)</sup>	26,917	26,403	26,864	26,600	24,815	26,593	25,929	25,916	25,000	23,327	25,538	25,116	26,458	25,965	25,415	24,271	24,416	24,163	24,103	25,029	26,149	24,719	24,272	23,377
NASDAQ Composite <sup>(1)</sup>	7,999	7,963	8,175	8,006	7,453	8,095	7,729	7,533	7,282	6,635	7,331	7,306	8,046	8,110	7,672	7,510	7,442	7,066	7,063	7,273	7,411	6,903	6,874	6,728
S&P 500 <sup>(1)</sup>	2,977	2,926	2,980	2,942	2,752	2,946	2,834	2,784	2,704	2,507	2,760	2,712	2,914	2,902	2,816	2,718	2,705	2,648	2,641	2,714	2,824	2,674	2,648	2,575
Trading Days	20.0	22.0	21.5	20.0	22.0	21.0	21.0	19.0	21.0	18.5	20.5	23.0	19.0	23.0	20.5	21.0	22.0	21.0	21.0	19.0	21.0	20.0	20.5	22.0
Avg. Trades / Day (K)	810	898	800	791	863	817	863	845	871	966	844	972	840	780	770	816	761	775	845	1,011	980	744	759	680
Client Assets (\$B)	\$1,327.7	\$1,307.7	\$1,321.4	\$1,306.6	\$1,274.8	\$1,331.9	\$1,297.1	\$1,276.0	\$1,242.2	\$1,161.6	\$1,237.6	\$1,227.9	\$1,297.5	\$1,292.0	\$1,257.4	\$1,229.6	\$1,224.9	\$1,193.6	\$1,185.7	\$1,195.8	\$1,224.9	\$1,178.8	\$1,162.5	\$1,139.0
Avg. BDA Balances (\$B) <sup>(2)</sup>	\$113.0	\$110.9	\$110.6	\$109.9	\$109.7	\$111.4	\$112.3	\$113.6	\$118.2	\$116.6	\$113.5	\$112.9	\$112.3	\$112.8	\$114.1	\$115.1	\$116.2	\$117.8	\$118.0	\$117.9	\$118.9	\$119.2	\$118.6	\$119.5
Avg. Margin Balances (\$B)	\$20.2	\$20.5	\$20.4	\$20.6	\$20.9	\$20.4	\$20.0	\$19.5	\$18.9	\$21.2	\$22.2	\$23.0	\$22.3	\$21.9	\$21.7	\$20.9	\$20.4	\$20.4	\$19.8	\$19.4	\$18.2	\$18.0	\$17.6	\$17.1
Avg. Spread-Based Balances (\$B)	\$149.2	\$146.1	\$145.1	\$143.1	\$142.0	\$143.7	\$143.8	\$145.0	\$148.5	\$146.4	\$143.8	\$142.9	\$141.6	\$142.3	\$144.0	\$145.6	\$146.0	\$148.2	\$149.0	\$150.2	\$151.5	\$151.2	\$150.1	\$150.9
Avg. Fee-Based Balances (\$B) <sup>(3) (5)</sup>	\$270.6	\$264.6	\$265.5	\$291.8	\$288.4	\$290.2	\$283.0	\$276.8	\$263.1	\$258.3	\$264.6	\$268.1	\$276.1	\$272.7	\$266.2	\$262.1	\$256.0	\$252.3	\$252.3	\$251.4	\$251.1	\$238.9	\$233.4	\$217.7

8 Quarter / 2 Year History	FY19 Sep Q	FY19 Jun Q	FY19 Mar Q	FY19 Dec Q	FY18 Sep Q	FY18 Jun Q	FY18 Mar Q	FY18 Dec Q	Full Year	
									FY19	FY18
Dow Jones Industrial Average <sup>(1)</sup>	26,917	26,600	25,929	23,327	26,458	24,271	24,103	24,719	26,917	26,458
NASDAQ Composite <sup>(1)</sup>	7,999	8,006	7,729	6,635	8,046	7,510	7,063	6,903	7,999	8,046
S&P 500 <sup>(1)</sup>	2,977	2,942	2,834	2,507	2,914	2,718	2,641	2,674	2,977	2,914
Trading Days	63.5	63.0	61.0	62.0	62.5	64.0	61.0	62.5	249.5	250.0
Avg. Trades / Day (K)	837	825	860	928	795	784	943	726	862	811
Client Assets (\$B)	\$1,327.7	\$1,306.6	\$1,297.1	\$1,161.6	\$1,297.5	\$1,229.6	\$1,185.7	\$1,178.8	\$1,327.7	\$1,297.5
Ending Client Cash (\$B) <sup>(4)</sup>	\$155.6	\$147.2	\$146.6	\$156.5	\$141.4	\$141.4	\$143.8	\$147.0	\$155.6	\$141.4
Net New Assets (\$B)	\$22.0	\$19.5	\$19.6	\$31.8	\$23.9	\$19.8	\$22.2	\$26.5	\$93.1	\$92.3
Avg. BDA Balances (\$B) <sup>(2)</sup>	\$111.5	\$110.3	\$114.7	\$114.3	\$113.1	\$116.3	\$118.3	\$119.1	\$112.7	\$116.7
Avg. Margin Balances (\$B)	\$20.4	\$20.6	\$19.4	\$22.1	\$22.0	\$20.6	\$19.1	\$17.6	\$20.7	\$19.8
Avg. Spread-Based Balances (\$B)	\$146.8	\$142.9	\$145.8	\$144.4	\$142.7	\$146.6	\$150.3	\$150.7	\$145.0	\$147.5
Avg. Fee-Based Balances (\$B) <sup>(3) (5)</sup>	\$267.1	\$290.6	\$273.7	\$263.6	\$271.6	\$256.8	\$251.7	\$230.0	\$273.7	\$252.5
Funded Accounts (K)	11,971	11,876	11,763	11,630	11,514	11,399	11,266	11,129	11,971	11,514

(1) - Market index values are obtained from Yahoo! Finance and reflect the closing value of the last trade day of the respective period.

(2) - Client cash is held in FDIC-insured deposit accounts at third party banks.

(3) - Avg. Fee-Based Balances include ETF Market Center balances beginning November 1, 2017.

(4) - Includes BDA balances, client credit balances and money market funds (both sweeps and direct purchases)

(5) - Avg. Fee-Based Balances include the sale of approximately \$35B of Trust assets in June of 2019.

-Certain totals may not foot due to rounding.

-See Glossary of Terms on the Company's website at [www.amtd.com](http://www.amtd.com) for definitions of the above metrics.

-Company metrics include the Scottrade acquisition beginning September 18, 2017.